

**UNITED STATES  
PATENT AND TRADEMARK OFFICE**





# **PTAB Trial Statistics**

## **October 2021**

### **IPR, PGR**

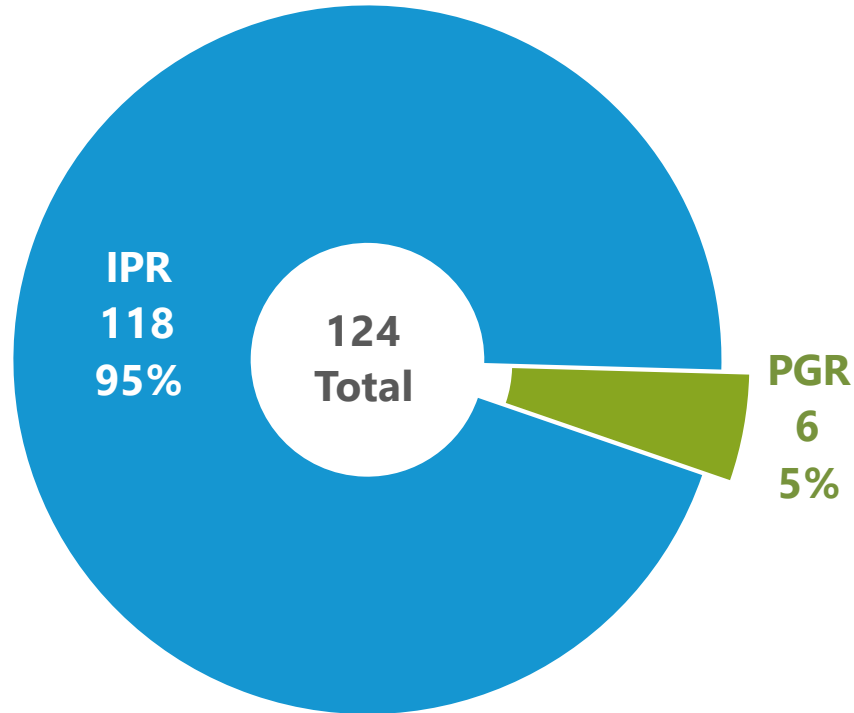
Patent Trial and Appeal Board

UNITED STATES  
PATENT AND TRADEMARK OFFICE



# Petitions filed by trial type

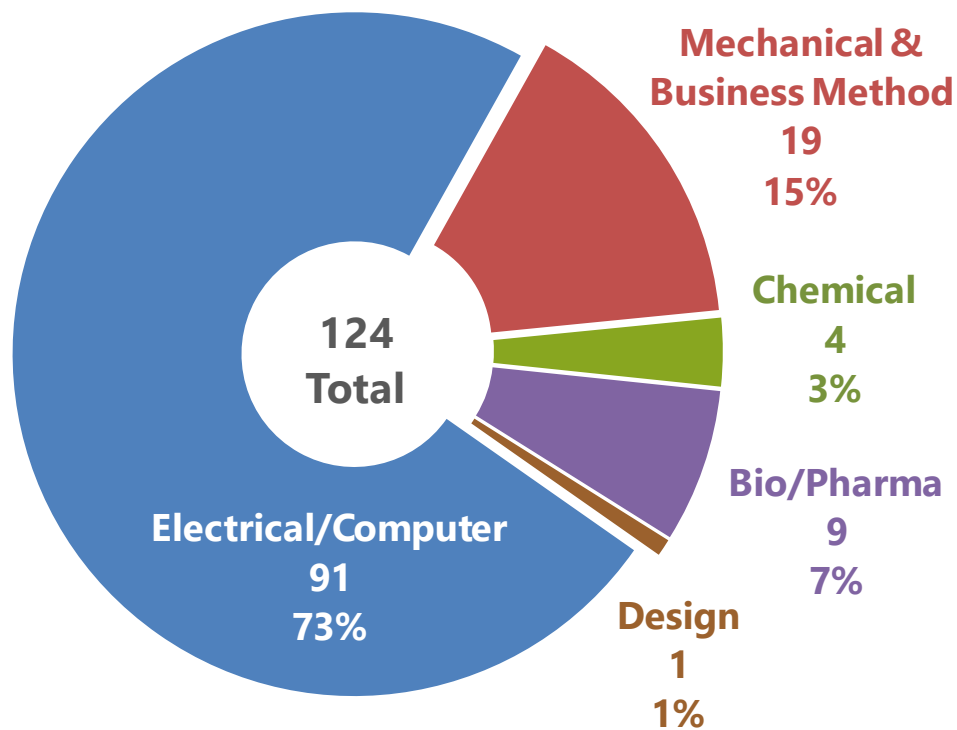
(FY22 through October: Oct. 1, 2021 to Oct. 31, 2021)



Trial types include Inter Partes Review (IPR) and Post Grant Review (PGR).

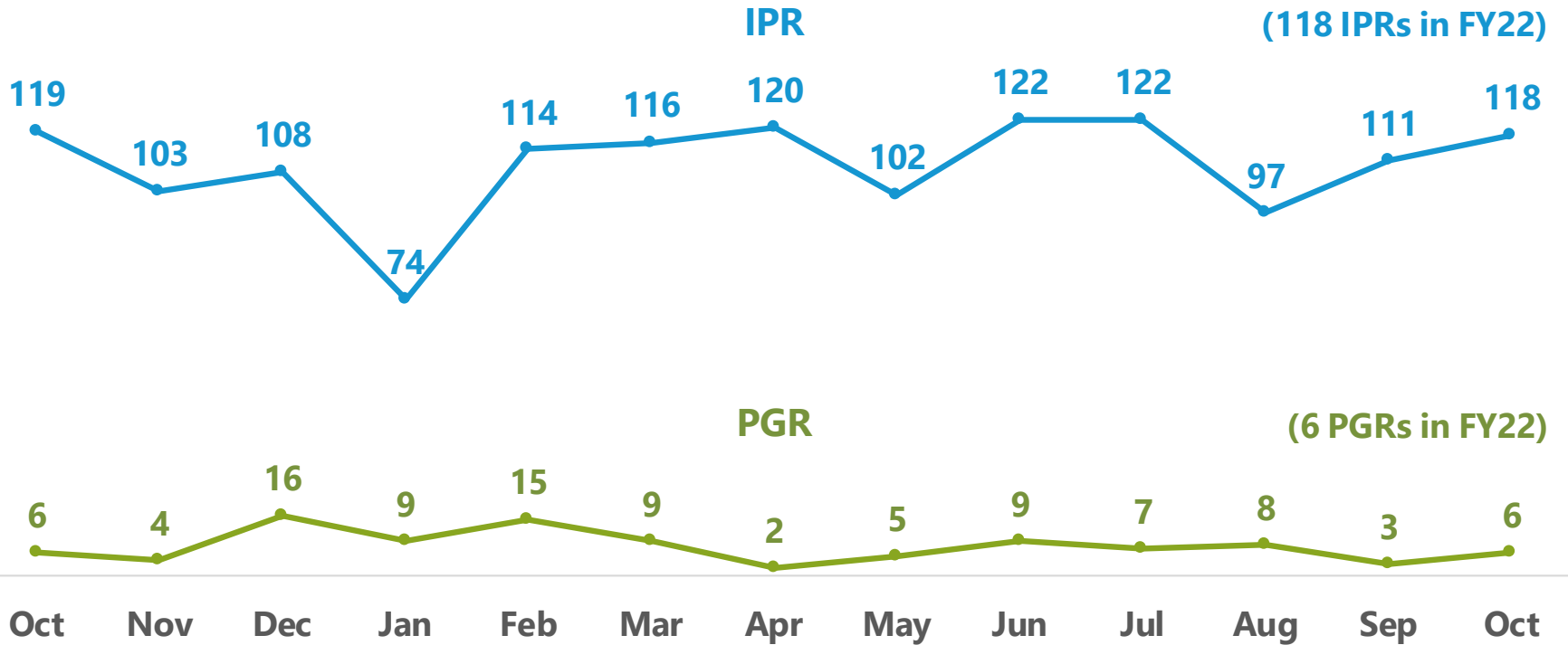
# Petitions filed by technology

(FY22 through October: Oct. 1, 2021 to Oct. 31, 2021)



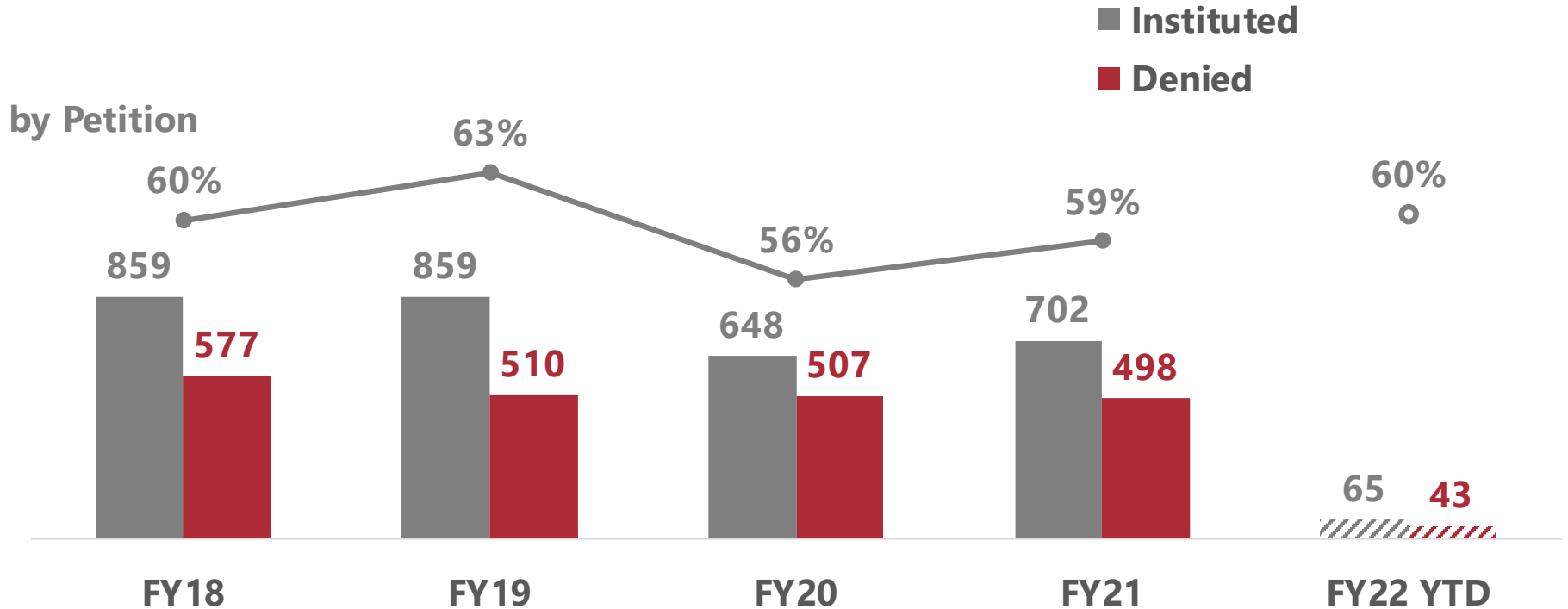
# Petitions filed by month

(Oct. 2021 and Previous 12 Months: Oct. 1, 2020 to Oct. 31, 2021)



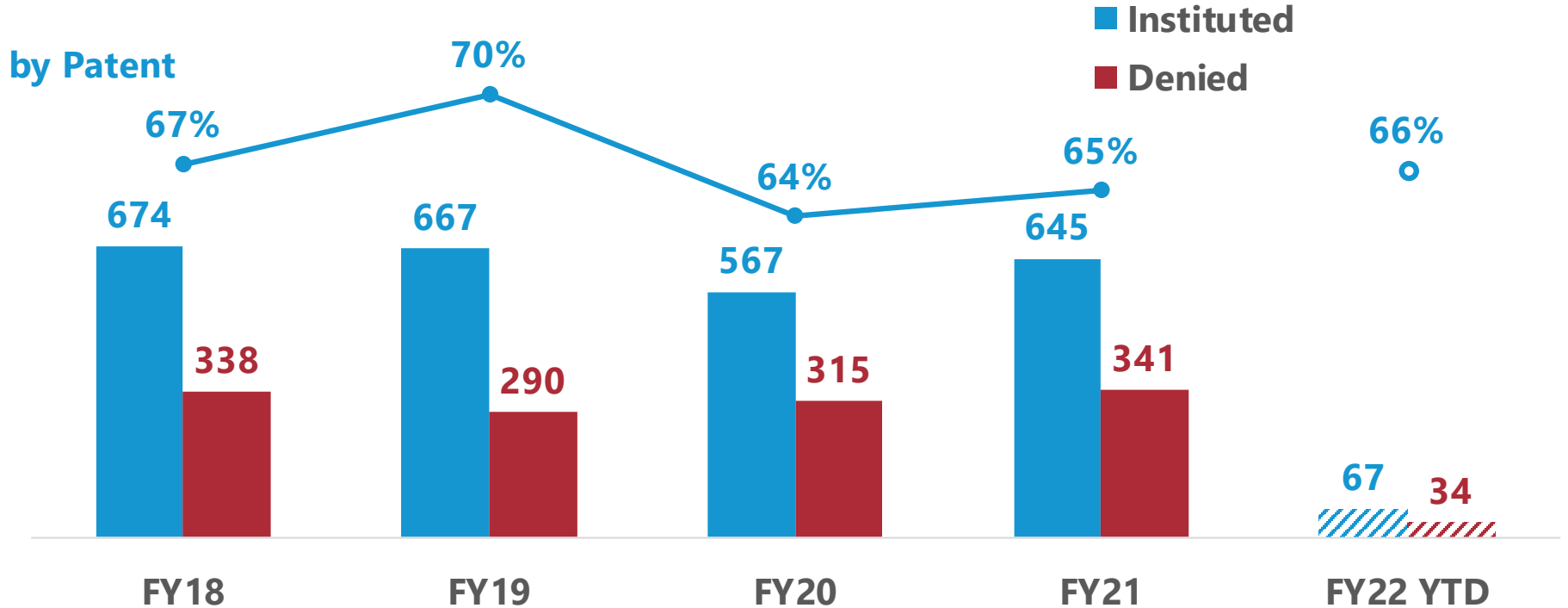
# Institution rates by petition

(FY18 to FY22 through October: Oct. 1, 2017 to Oct. 31, 2021)



# Institution rates by patent

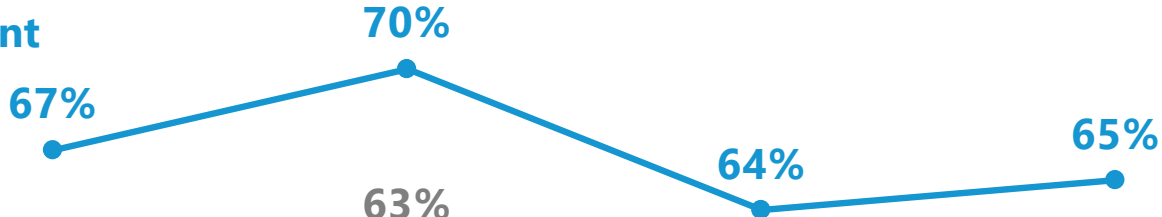
(FY18 to FY22 through October: Oct. 1, 2017 to Oct. 31, 2021)



# Institution rates by patent and by petition

(FY18 to FY22 through October: Oct. 1, 2017 to Oct. 31, 2021)

by Patent



by Petition



66%

60%

FY18

FY19

FY20

FY21

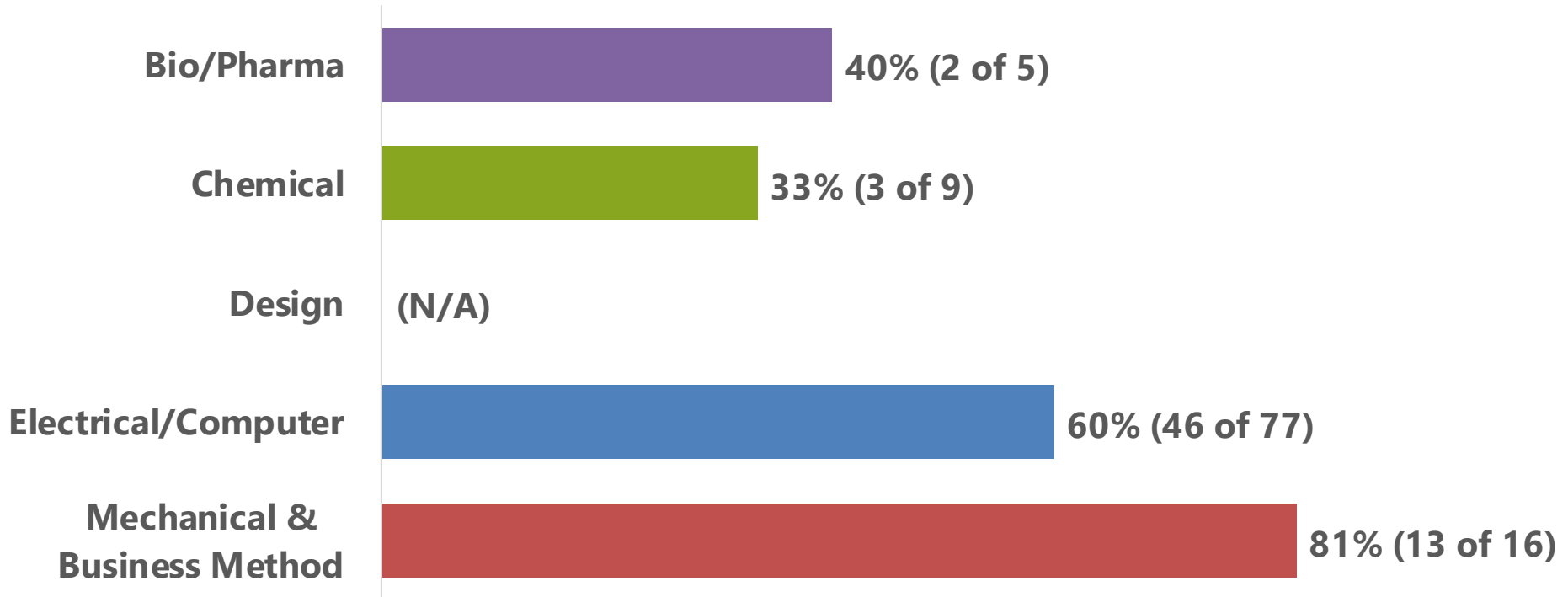
FY22 YTD





# Institution rates by technology

(FY22 through October: Oct. 1, 2021 to Oct. 31, 2021)

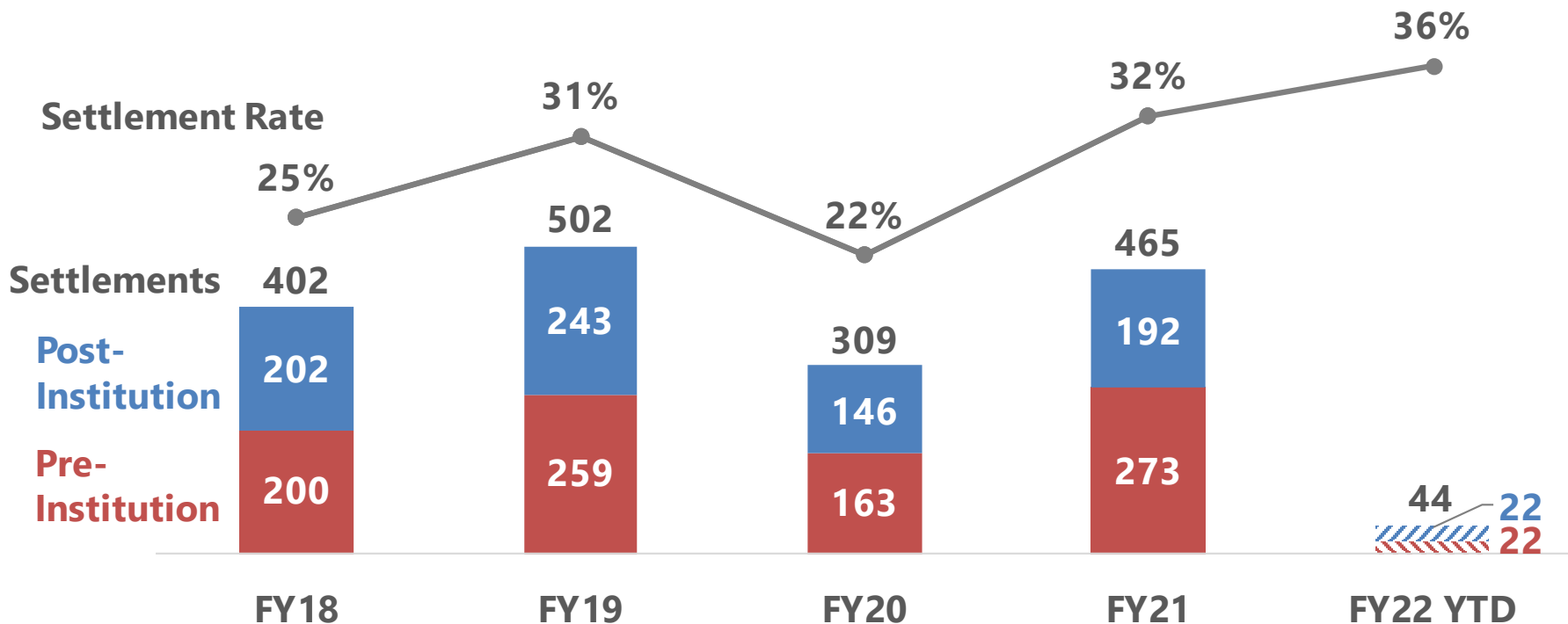


Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.



# Settlements

(FY18 to FY22 through October: Oct. 1, 2017 to Oct. 31, 2021)



Settlement rate is calculated by dividing total settlements by concluded proceedings in each fiscal year (i.e., denied institution, settled, dismissed, requested adverse judgment, and final written decision), excluding joined cases.



