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WELCOME May 9th 2024





TOURS OF TOURS OF THE STATE OF

Vaishali Udupa

Commissioner of Patents



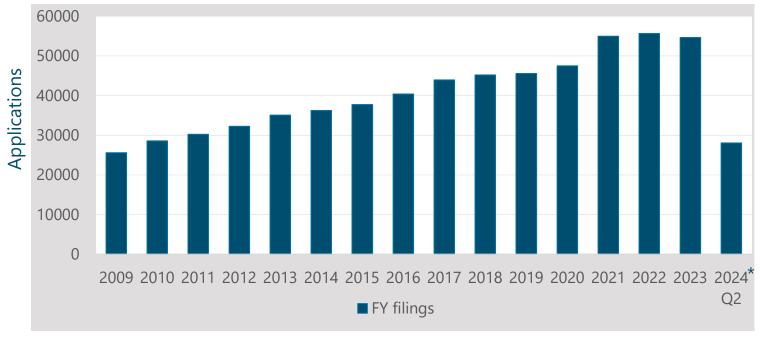
State of the Design Technology Center

Karen M. Young

Director of Technology Center 2900



Design filings



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*Filings through fiscal year 2024 (FY24) quarter 2 (Q2) – 28,056



Design filings by class

CLASS	2020	2021	2022	2023	2024*	CLASS NAME
D14	2909	2852	3649	2636		RECORDING, COMMUNICATION, OR INFORMATION RETRIEVAL EQUIPMENT
D06	1264	1555	1732	1553	1773	FURNISHINGS
D21	1008	1248	1422	1214	1767	GAMES, TOYS, AND SPORTS GOODS
D12	1634	1363	1751	1443	1648	TRANSPORTATION
D02	1158	1219	1259	1169	1543	APPAREL AND HABERDASHERY
						EQUIPMENT FOR PREPARING OR SERVING FOOD OR DRINK NOT ELSEWHERE
D07	1037	1219	1430	1197	1463	SPECIFIED
D13	1019	1066	1108	1172	1420	EQUIPMENT FOR PRODUCTION, DISTRIBUTION, OR TRANSFORMATION OF ENERGY
D24	1368	1578	1415	1243	1365	MEDICAL AND LABORATORY EQUIPMENT
D26	915	1292	1378	1166	1350	LIGHTING
D08	1063	1026	1137	1074	1271	TOOLS AND HARDWARE
						ENVIRONMENTAL HEATING AND COOLING; FLUID HANDLING AND SANITARY
D23	1093	1224	1231	1134	1220	EQUIPMENT
D03	726	686	819	662	772	TRAVEL GOODS AND PERSONAL BELONGINGS
D09	904	768	727	684	739	PACKAGES AND CONTAINERS FOR GOODS
D28	406	452	401	405	693	COSMETIC PRODUCTS AND TOILET ARTICLES
D15	593	587	643	580	678	MACHINES NOT ELSEWHERE SPECIFIED
D30	254	338	492	488	667	ANIMAL HUSBANDRY
D11	453	509	492	462	570	JEWELRY, SYMBOLIC INSIGNIA, AND ORNAMENTS
D10	613	585	571	546	552	MEASURING, TESTING, OR SIGNALLING INSTRUMENTS (1) (2)

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^{*} Filings through FY24 Q2

Design filings by class - continued

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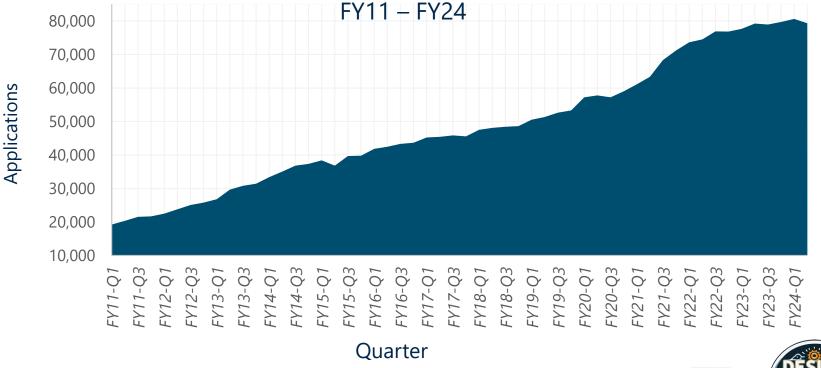
CLASS	2020	2021	2022	2023	2024*	CLASS NAME
D16	438	577	482	444	518	PHOTOGRAPHY AND OPTICAL EQUIPMENT
D32	362	242	356	335	481	WASHING, CLEANING, OR DRYING MACHINE
D25	347	306	323	381	381	BUILDING UNITS AND CONSTRUCTION ELEMENTS
D22	246	288	320	276	377	ARMS, PYROTECHNCIS, HUNTING AND FISHING EQUIPMENT
D04	181	184	203	155	257	BRUSHWARE
D27	245	168	246	223	212	TOBACCO AND SMOKERS' SUPPLIES
D34	178	161	170	158	183	MATERIAL OR ARTICLE HANDLING EQUIPMENT
D19	175	194	267	194	169	OFFICE SUPPLIES; ARTISTS` AND TEACHERS` MATERIALS
D99	72	108	104	66	151	MISCELLANEOUS
D29	129	164	127	126	117	EQUIPMENT FOR SAFETY, PROTECTION, AND RESCUE (1)
D18	119	102	109	89	106	PRINTING AND OFFICE MACHINERY
D20	84	105	76	60	70	SALES AND ADVERTISING EQUIPMENT
D01	72	212	61	68	67	EDIBLE PRODUCTS
D17	64	71	64	47	57	MUSICAL INSTRUMENTS
D05	84	45	42	35	22	TEXTILE OR PAPER YARD GOODS; SHEET MATERIAL

^{*} Filings through FY24 Q2



Design unexamined application inventory

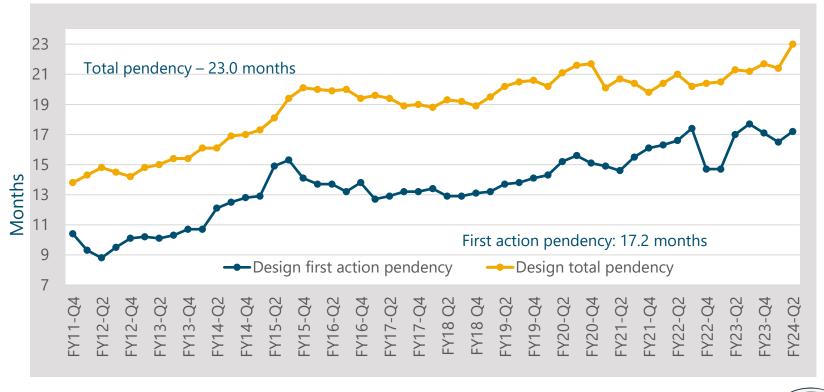
ים מחריל מי ה' מחתיל הים



Please send questions to the DesignDay@uspto.gov mailbox.



First action and total pendency

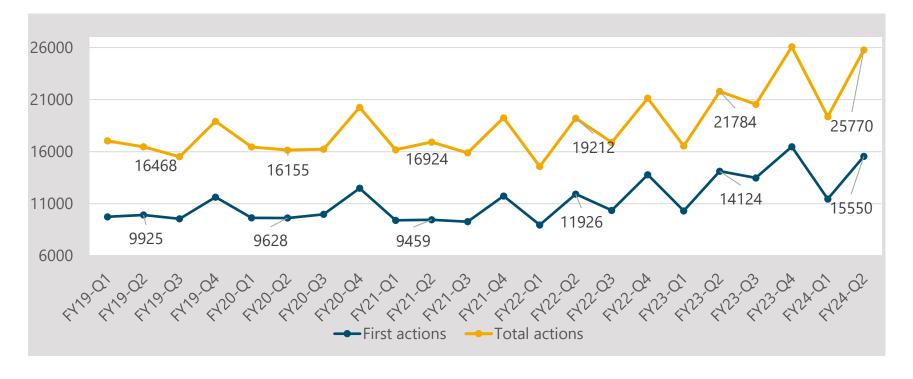


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First actions – 26,998* Total actions – 45,135* *cumulative FY 24 totals



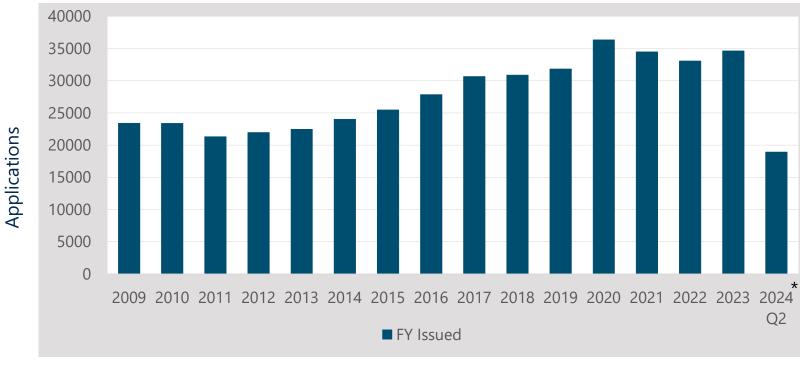
First action and total actions



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Design patents issued



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*Through FY24 Q2



Yearly Hague applications

Fiscal year	Number of applications received by USPTO
2024	1,913*
2023	3,197
2022	2,705
2021	2,248
2020	2,988

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^{*}Through FY24 Q2

FY 2024* first office actions by type

U.S. design applications

Type of action	Percent
1st action rejection	38%
1st action allowance	39%
1st action restriction	8%
1st action Quayle	15%

*Through FY24 Q2

Hague applications

Type of action	<u>Percent</u>
1st action rejection	41%
1st action allowance	27%
1st action restriction	21%
1st action Quayle	11%





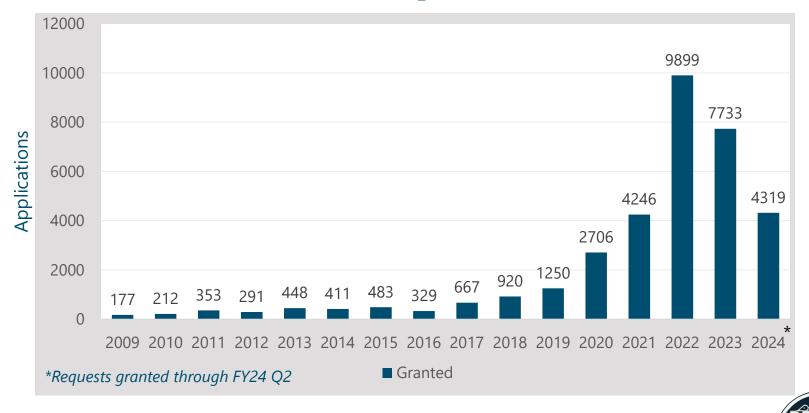
 Establishes an expedited procedure for design applications under 37 CFR 1.155, including applications filed via the Hague system

TO TOUR A LANDER

 Examined with priority and undergo expedited processing through the entire course of prosecution in the office



Rocket Docket requests



COUNTY of D. WINTY





Attribute time

 Attribute time related to Hague and Rocket Docket cases accounts for a significant portion of available examining resources – about 8% of current capacity

TOURS A PROPERTY.

FISCAL YEAR	HAGUE HOURS	ROCKET DOCKET HOURS
2021	7437	11271
2022	7359	24516
2023	8613	25689
2024*	4785	12675

^{*}Attribute hours through FY24 Q2



*as of May 9, 2024

Design staffing*

Secretary (1)

Technology Center
(TC) Director (1)

Supervisory Patent Examiners (SPE) (27)

TC Operations Managers (3)

Quality Assurance Specialist (1)

Design Practice Specialists (3)

Design Examiners (342)

Office Manager (1)

Technical Support Personnel (multiple)



Examiner experience level

Experience level	Number of examiners
GS-14	112
GS-13	15
GS-12	25
GS-11	56
GS-9	64
GS-7	70

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Now hiring design examiners!

 Total number of design examiners has increased 68% from FY20 to FY24, from 204 to 342

Fiscal year	Examiners hired
2024	29*
2023	58
2022	82
2021	46
2020	38

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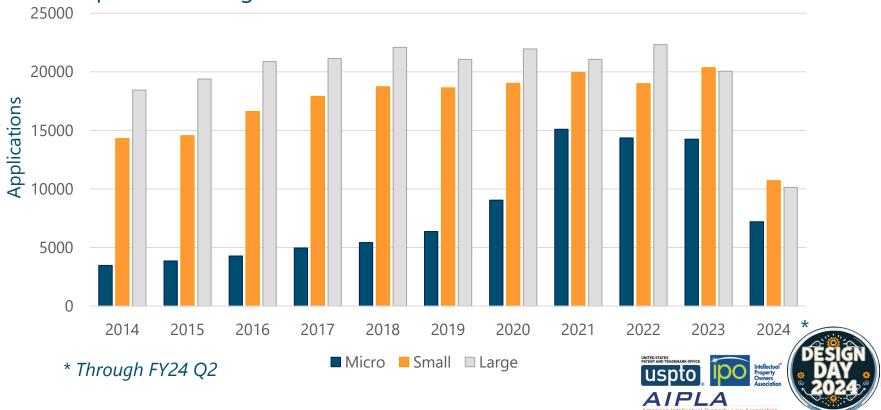


^{*}hirings through FY24 Q2

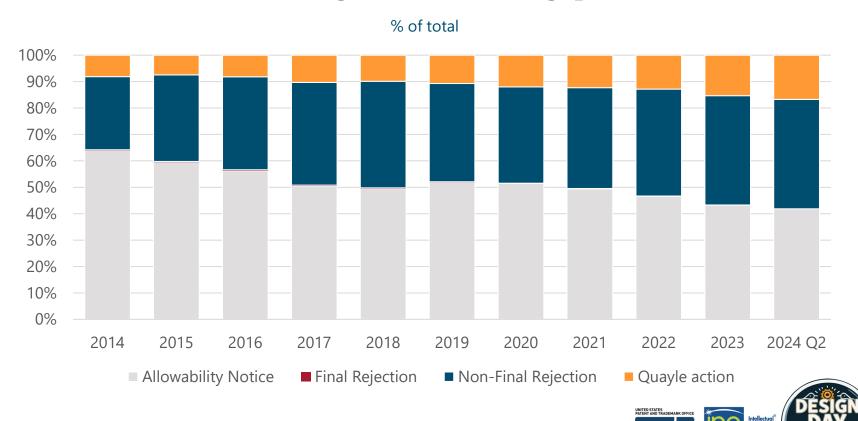
Filings by entity status

• Micro entity status filings has shown a gradual increase over time since its inception, reaching record levels in FY 2021.

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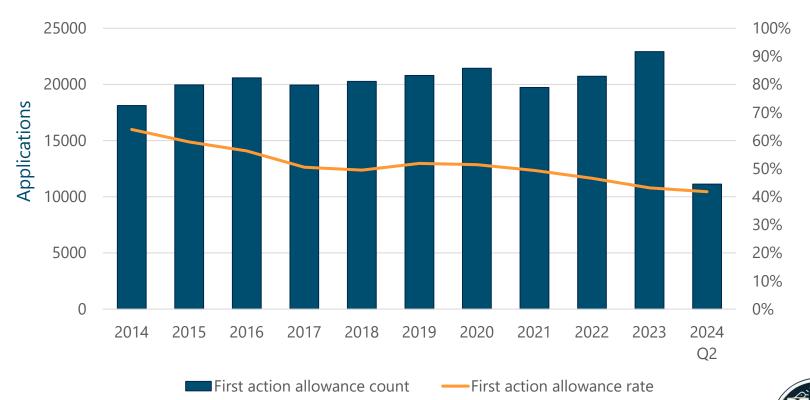


First actions by action type



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First action allowance rate



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One million design patents issued!

S. MONTY OF DIVINITY OF THE







New Design Patent Practitioner Bar

Kerith Kanaber

Partner, Registered Patent

Attorney

Dorsey+Whitney LLP



Qualifications

- Applications accepted starting January 2, 2024
- What you need:
 - Category D Degree- Degree from an accredited college or university in: Art teacher education, fine/studio arts, applied arts, graphic design, architecture, product design or industrial design
 - Application and fees
 - Pass the registration exam
 - Pass a moral character evaluation
- What you don't need
 - A JD/law degree



Take-Aways

- Registered to practice before the USPTO in design patent matters only
- Same registration examination
- Practitioners are required to inform the USPTO and clients of their limited representation
- Registration number is a new, separate design patent practitioner series number
- Already admitted to the patent bar? No change needed.
- Caution: USPTO Customer Number



Questions? Thank you!

Kerith Kanaber Kanaber.Kerith@Dorsey.com



George Raynal Saidman Design Law Group

SEARCHING DESIGNS



- Taking a look at the USPTO's New Search Tools
 - Trademark Search System for <u>Trade Dress</u>
 - Patent Public Search for <u>Design Patents</u>
- Exploring broader landscape of resources to discover design information from the USPTO and INTERNATIONALLY
 - Searching For Issued Design Patents and Registrations
 - Classification
 - Guidelines
 - Gazette or Journal
 - Decisions on Patentability / Registration
 - Decisions on Validity (IPR, PGR, Reexam)
 - Laws and Changes
 - Notices

Design Day 2024

Other areas for future consideration (not today)

- Trademarks
- Copyright
- District Court Litigation and Appeals
- Customs
 - Recordation
 - ITC 337 Investigations

Exploring broader landscape of resources to discover design information from the USPTO and INTERNATIONALLY Search Guide Laws and Changes Decisions Gazette / Journal **Patentability EXAMPLE: UK** Validity





As of January 31, 2020, the UK is no longer a member of the European Union, and design protection in the UK requires a separate, direct filing.





Search

United Kingdom

Guide



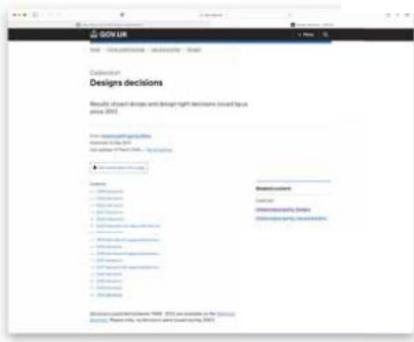


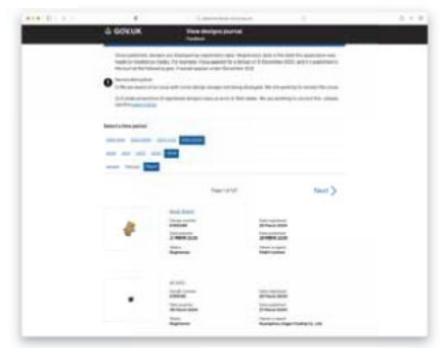


Design Decisions



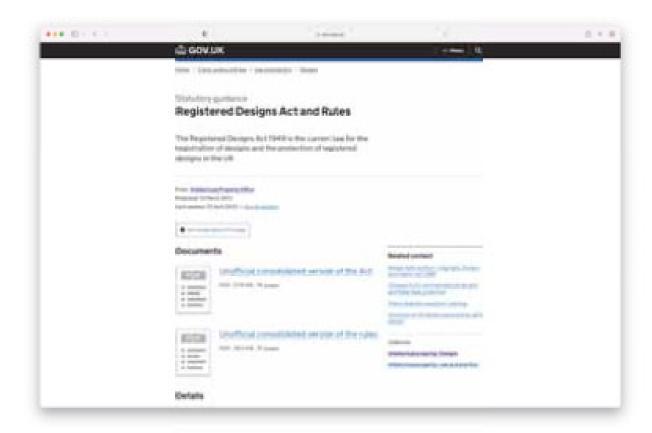
Journal







Laws and Changes



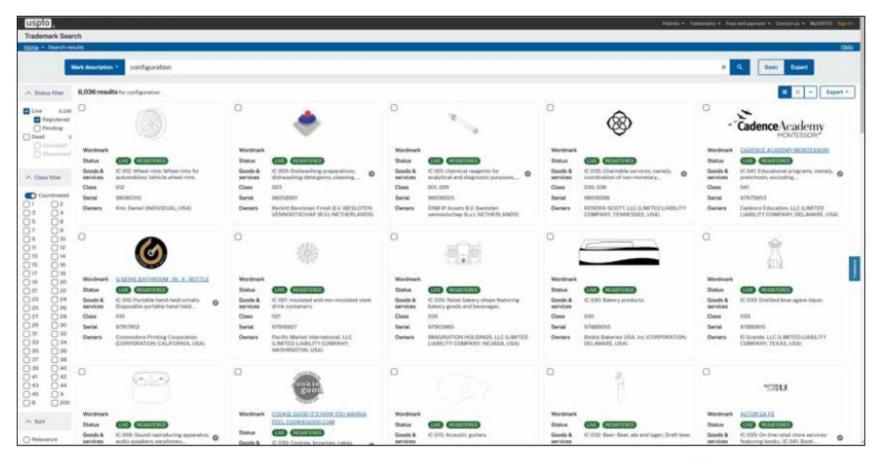






- Taking a look at the USPTO's New Search Tools
 - Trademark Search for <u>Product Configuration Trade Dress</u>
 - Choose "Expert"
 - Search Mark Description for "Configuration"
 - Select registered, deselect pending and dead
 - Sort by descending serial number 9-0
 - Screen for
 - Logos
 - Product Packaging
 - Configurations
 - Principal Register
 - Supplemental Register







Taking a look at the USPTO's New Search Tools



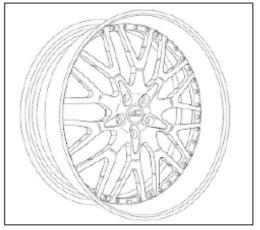


- Trade Dress Registration
 - Packaging Configuration
 - Can be inherently distinctive; must be unique for goods if unique for the goods



Taking a look at the USPTO's New Search Tools





- Trade Dress Registration
 - Product Configuration
 - Non-functional
 - Acquired distinctiveness

Register: Supplemental



Decision on Registration

Refusal to register trade dress affirmed by Fourth Circuit

UNITED STATES COURT OF APPEALS FOR THE FOURTH CIRCUIT

No. 23-1150

TBL LICENSING, LLC,

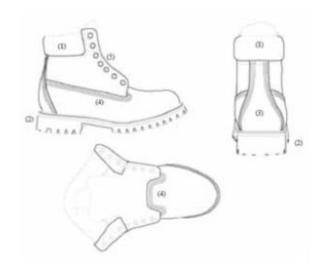
Plaintiff - Appellant,

v.

KATHERINE K. VIDAL, in her official capacity as Director of the United States Patent & Trademark Office; UNITED STATES PATENT & TRADEMARK OFFICE,

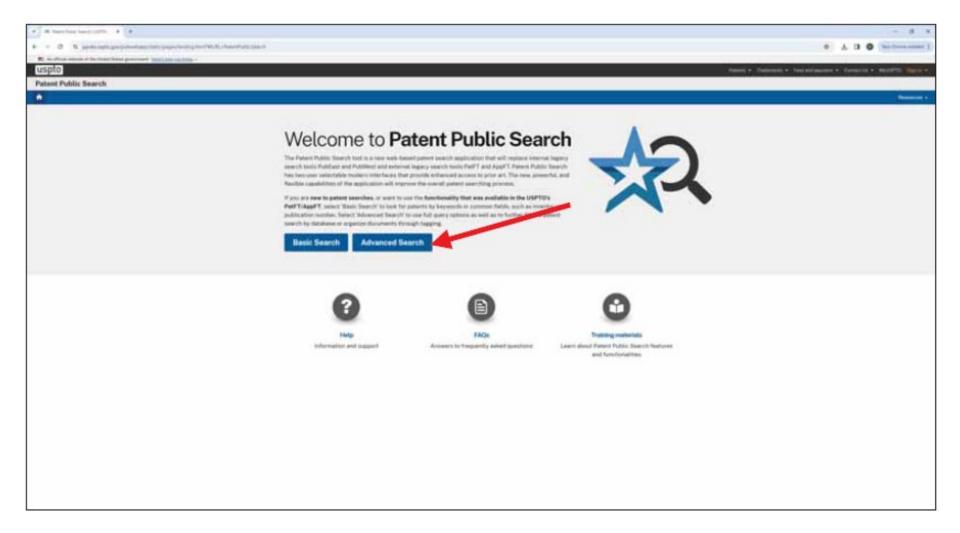
Defendants - Appellees.





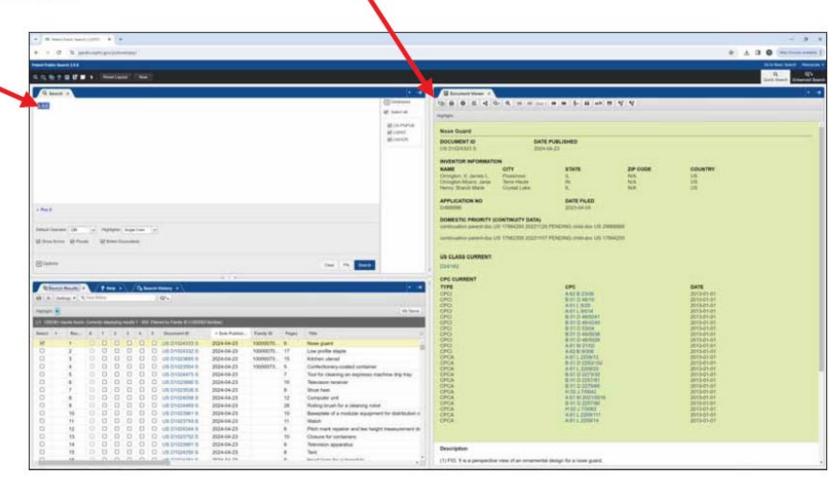
- Taking a look at the USPTO's New Search Tools
 - Patent Public Search for <u>Design Patents</u>

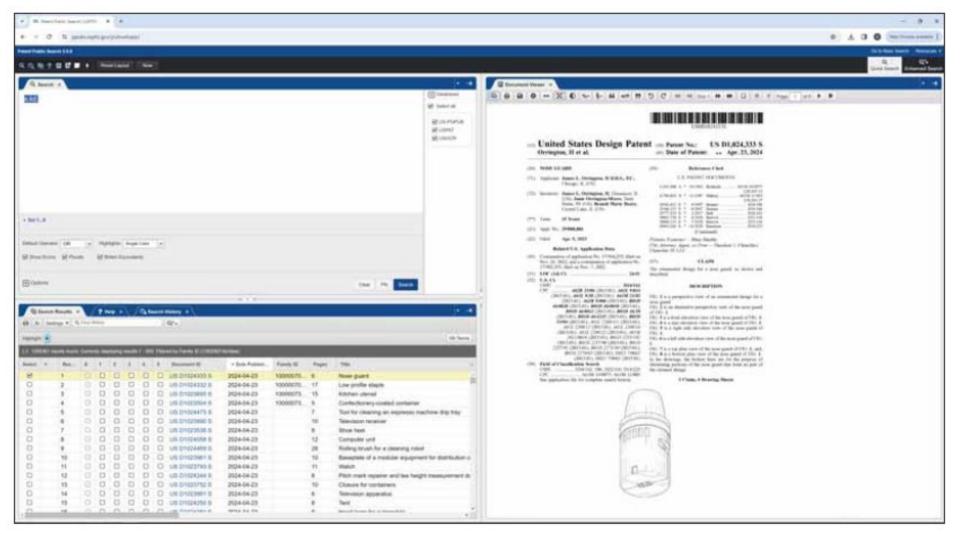




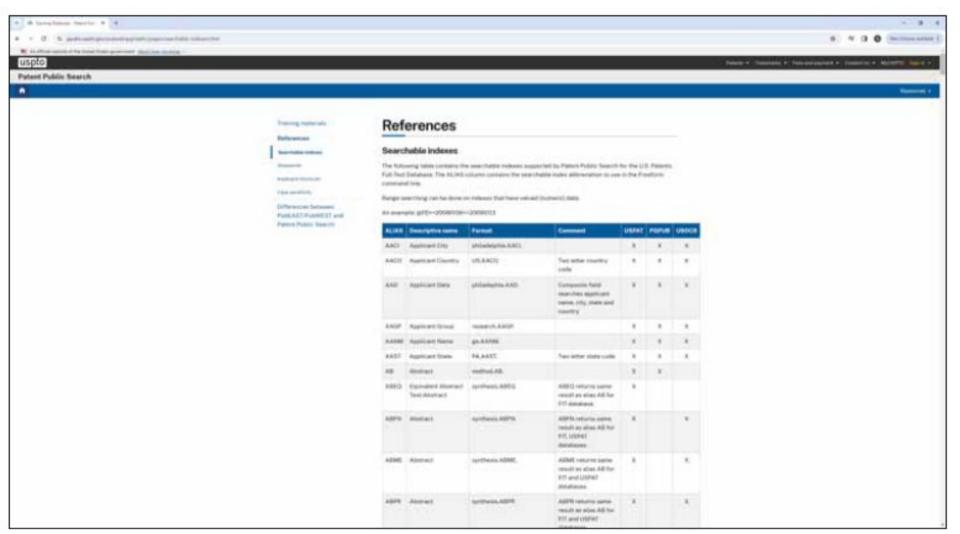
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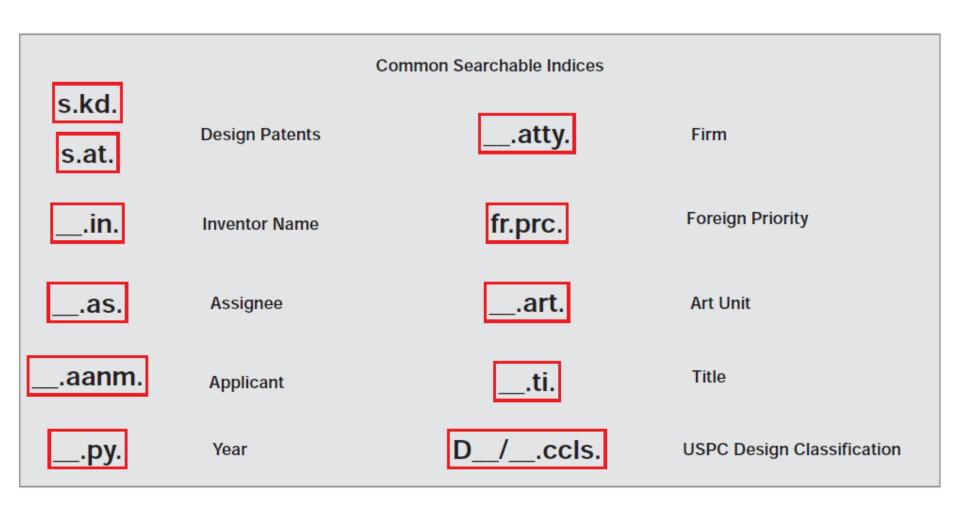
s.kd.





Searchable Indexes





Design Patent Classification



- Function or Intended Use
- Further classified by
 - Specific functional feature
 - Distinctive ornamental appearance or form

The Design classification schedule of the USPC system provides a structured organization for the body of U.S. Design patents. Since the claim of a Design Patent is directed to "an ornamental design" for "an article of manufacture" [35 USC 171], the Design classification schedule promotes efficient access to industrial designs that have been granted patent rights.

B. Theory

Classification of design patents is based on the concept of function or intended use of the industrial design disclosed and claimed in the Design patent. Industrial designs that have the same function are generally collected in the same Design class, even though individual designs may be used in different environments.

For example, patented designs for seating are classified in class D6, Furnishings, even though these designs may be used in the home, workplace, vehicles, etc. Industrial designs of the same function are further classified by specific functional feature, distinctive ornamental appearance, or form.



Article of Manufacture

35 U.S.C. 171

(a) In General.—

Whoever invents any <u>new</u>, <u>original</u> and <u>ornamental</u> DESIGN for an article of manufacture may obtain a patent therefor, subject to the conditions and requirements of this title.

(b) Applicability of This Title.—

The provisions of this title relating to patents for inventions shall apply to patents for designs, except as otherwise provided.

(c) Filing Date.—

The filing date of an application for patent for design shall be the date on which the specification as prescribed by section 112 and any required drawings are filed.

M.P.E.P. 1502 Definition of a Design

The subject matter of a design patent application may relate to:

- (a) the configuration or shape of an article;
- (b) the surface ornamentation applied to an article; or
- (c) the combination of configuration and surface ornamentation



Article of Manufacture

Samsung v. Apple - 2016

Damages

Curver Luxembourg v. Home Expressions - 2019





Infringement

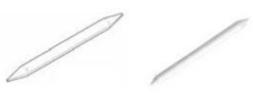
Columbia v. Seirus - 2023

Comparison Prior Art

In re SurgiSil - 2021



Anticipation





3n the Supreme Court of the United States SEIRUS INNOVATIVE ACCESSORIES, INC., Petitioner, COLUMBIA SPORTSWEAR NORTH AMERICA. INC. Respondent. ON PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS POR THE PEDERAL CIRCUIT PETITION FOR A WRIT OF CERTIORARI JOHN W. THORNSUNGH Counsel of Record CHRISTOPHER S. MARCHESE SETH M. SPHOUL FISH & RICHARDSON P.C. 12860 EL CAMINO REAL, SUTTE 400 (858) 678-5070

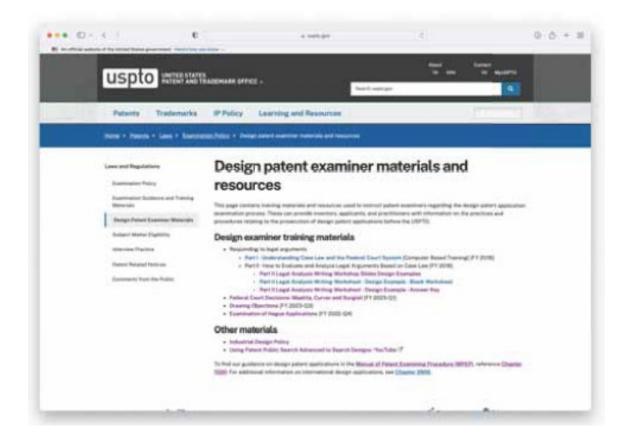
March 21, 2024

QUESTIONS PRESENTED

The questions presented are:

- Whether function must be disregarded in defining the scope of comparison prior art relevant to design patent infringement; and
- (2) Whether comparison prior art can be considered in evaluating design patent infringement even if it is not the exact "same article" and thus could not anticipate for purposes of determining validity.

Training Materials

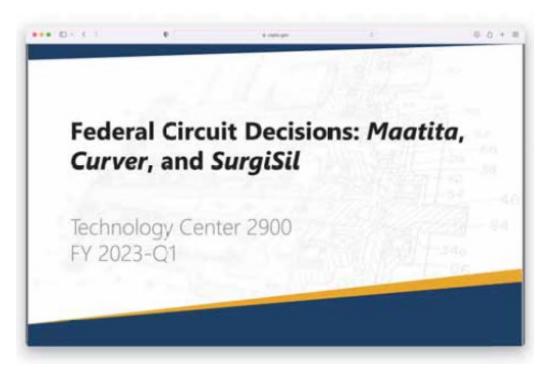






Training Materials



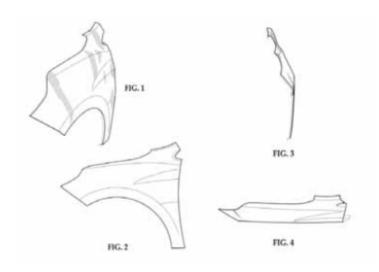


- Qualifying Prior Art for Anticipation
 - Appear Substantially Similar
 - Reasonably Related to the same Article



LKQ v. GM

Obviousness



Hupp v. Siroflex

122 F.3d 1456, 1462 (Fed. Cir. 1997)

The scope of the prior art is not the universe of abstract design and artistic creativity, but designs of the same article of manufacture or of article sufficiently similar that a person of ordinary skill would look to such articles for their designs."

(finding ceramic floor tile molds were not appropriate prior art for a mold for a concrete walkway)



United States

Guide



Dashboard



Policy



Decisions - Registration

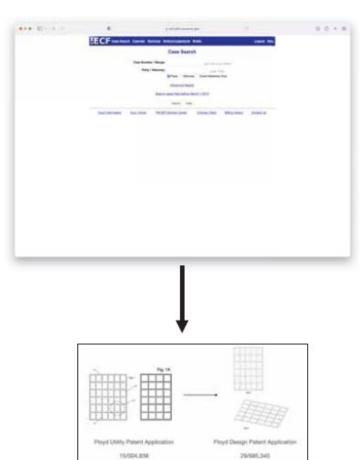
In re Samuels

22-1121

Nonprecedential Opinion, March 6, 2024 (Taranto, Chen and Stoll)

Waffle Having a Waffle Pattern Side and a Smooth Side



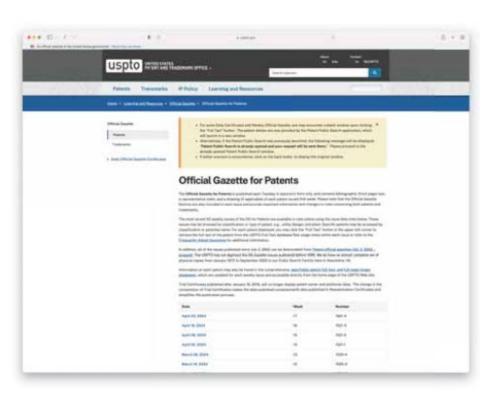


www.altdesignpatent.com

Gazette / Journal



Decisions - Validity



- Notices
 - Reexamination
 - IPR & PGR

Decisions - Validity

Challenging Designs

IPR & PGR

38% institution

72% invalidation at trial

(21 unpatentable out of 29 final decisions)

www.designpatentvalidity.com

Decisions - Validity

Challenging Designs

Reexamination

91% ordered

44% invalidation

(58 cancelled out of 132 ordered)

www.designpatentvalidity.com

Gazette / Journal



United States



Browse Granted Patents

Gazette / Journal



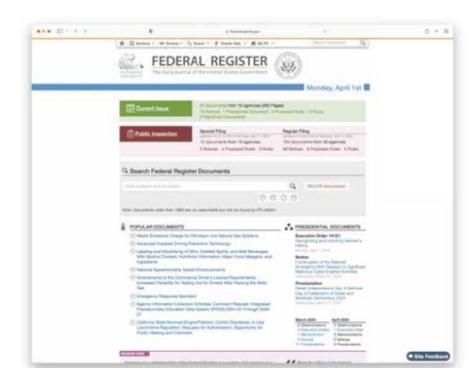
United States

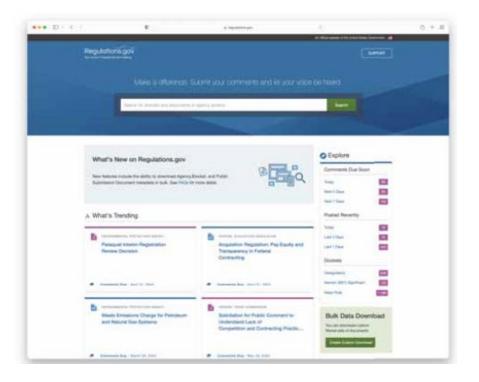


Laws and Changes



United States





Noticing Designs



United States



Representation of Others in Design Patent Matters

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Supplemental Guidance Computer Generated Electronic Images GUI Icons



WIPO Diplomatic Conference on Design Law Treaty



Setting and Adjusting Patent Fees During Fiscal Year 2025





Thank You!

George Raynal
George.Raynal@designlawgroup.com
Saidman Design Law Group



BACKGROUND OF PROTECTING GUIS

35 U.S.C. § 171

- "[w]however invents ay new, original and ornamental design for an article of manufacture may obtain a patent therefor"
 - Three types of Designs:
 - A design for an ornament, impression, print, or picture that is applied to or embodied in an article of manufacture;
 - A design for the shape or configuration of an article of manufacture; and
 - A combination of the previous two

Ex parte Strijland, 26 USPQ2d 1259 (Bd. Pat. App. & Int. 1992)

- Confirmed 35 U.S.C. § 171 must be shown as applied to or embodied in an article of manufacture
 - Mere Display of a Picture on a Screen is not patentable
 - The picture must be "an integral and active component in the operation of the programmed computer displaying the design."
- Led to Rulemaking which USPTO became previous MPEP § 1504.01(a)(1)
 - If Properly presented and claimed, a display panel
 with a computer icon or GUI as an integral and
 active component in the operation of a programmed
 computer displaying the design constitutes
 statutory subject matter under 35 U.S.C. § 171.

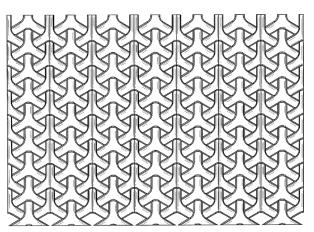


FIG. 1



CONFIRMED IN CURVER

Curver Luxembourg v. Home Expressions Inc. 938 F.3d 1334 (Fed. Cir. 2019)

 As discussed in MPEP section 1502, a "[d]esign is inseparable from the article to which it is applied and cannot exist alone merely as a scheme of surface ornamentation."

NEW GUIDELINES

Guidance

- The USPTO considers a Computer Icon or a GUI shown on a display panel, or portion thereof, is more than a mere display of a picture on a screen because a computer icon or a GUI is an integral and active component in the operation of . . . a programmed computer displaying the computer icon or the GUI.
- Personnel must Review the Title and Claim Language to determine whether the title and claim adequately describe a design for an article of manufacture.



Points for Examiners to Consider

- A claim to the image per se, to a display panel (or a portion thereof) with the image, or to the image for display on a display panel, will not satisfy the article of manufacture requirement
 - A computer-generated electronic image shown on a display panel that is not a computer icon or a GUI is a mere illustration of a picture displayed electronically.
- The title and the claim must be for an article of manufacture, for example, a "display panel with computer icon."
 - The USPTO considers computer icons or GUIs to be two-dimensional images which standing alone are surface ornamentation
- A claim and title directed to a display screen with an icon or a GUI adequately describes a design for an article of manufacture.
 - When a design claim is to a display panel with a computer-generated image, the USPTO considers the term "icon" or "GUI" in the title and the claim to be indicating that the image on the display panel is not merely a displayed picture, but an integral and active component in the operation of a programmed computer displaying the image.

NEW GUIDELINES (TITLE EXAMPLES)

DO NOT Adequately Describe Design

- Fail to Designate a Particular Article
 - display screen with virtual image
 - virtual image for display on computer screen
 - computer icon
 - icon for computer screen



DO Adequately Describe Design

- Designate a Particular Article
 - computer screen with an icon
 - display panel with GUI
 - display screen or portion thereof with icon
 - portion of a computer screen with an icon
 - portion of a display panel with an icon
 - · portion of a monitor displayed with an icon

USD1024113

Display Screen or Portion Thereof with Graphical User Interface - IGT

NEW GUIDELINES (PROSECUTION EXAMPLE 1)

Title: Computer display screen with icon

- Description
 - The figure is a front view of a computer display screen with icon, showing the new design.
 - The broken lines showing a portion of the computer display screen form no part of the claimed design.
- Claim
 - The ornamental design for computer display screen with icon as shown and described.



Office Position

- Allowed
 - A computer icon or a GUI on a display panel to be an integral and active component in the operation of a programmed computer displaying the design and more than a displayed picture.
 - The application fully discloses the design
 as embodied in an article of manufacture,
 as the drawing depicts the design
 embodied in a computer screen in broken
 lines.

NEW GUIDELINES (PROSECUTION EXAMPLE 2)

Title: Animated Icon

- Description
 - Figure 1 is a front view showing a first image in a sequence for an animated icon showing a new design.
 - Figure 2 is a second image thereof. The appearance of the animated image sequentially transitions between the images shown in Figs. 1–2.
 - The process or period on which one image transitions to another image forms no part of the claimed design. The broken lines showing a portion of a computer display screen form no part of the claimed design.
- Claim
 - The ornamental design for an animated Icon as shown and described.





FIG. 1 FIG. 2

Office Position

- Objected for failing to designate a particular article of manufacture
- Fixable
 - The application fully discloses the design as embodied in an article of manufacture, as the drawing depicts the design embodied in a computer display screen in broken lines and the description describes a portion of a computer display screen.

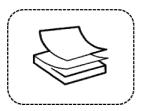
Response to Office Action

- Title: Computer display screen with an animated icon
- Claim: The ornamental design for a <u>computer display screen</u> <u>with</u> an animated icon as shown and described.

NEW GUIDELINES (PROSECUTION EXAMPLE 3)

Title: Virtual paper stack

- Description
 - The figure is a front view of a computer
 display screen with a virtual paper stack
 showing the new design. The broken lines
 showing a portion of the computer display
 screen form no part of the claimed design.
- Claim
 - The ornamental design for a virtual paper stack as shown and described.



Office Position

- Rejected under 35 U.S.C. 171.
- Object to Title and Claim for failing to designate a particular article of manufacture

Not Fixable

 The original disclosure does not provide support for amendments to support a computer icon or a display.

NEW GUIDELINES (PROSECUTION EXAMPLE 4)

Title: Paper stack icon for use on a mobile device screen

- Description
 - The figure is a front view of a paper stack icon showing the new design.
- Claim
 - The ornamental design for a paper stack icon for use on a mobile device screen as shown and described.







Amended

Office Position

- Does not comply with 35 U.S.C. 171 for failing to depict an article of manufacture in soldi or broken lines.
- Title and claim objected to
- Fixable
 - Amendments to title, claim, description, and drawings required.

Response to Office Action

- Title: Mobile device screen with a paper stack icon for use on a mobile device screen
- Description: The figure is a front view of a mobile device with a virtual paper stack icon showing the new design. The broken lines showing a portion of the mobile device screen form no part of the claimed design.
- Claim: The ornamental design for a <u>mobile device</u> <u>screen with</u> a paper stack icon for use on a mobile device screen as shown and described.

NEW GUIDELINES (PROSECUTION EXAMPLE 5)

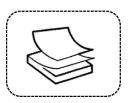
Title: Paper stack icon for use on a mobile device screen

Description

 The figure is a front view of a computer display screen with icon, showing the new design. The broken lines showing a portion of the computer display screen form no part of the claimed design.

Claim

 The ornamental design for an icon for computer display screen as shown and described.



Office Position

- Title and claim objected to for failing to designate a particular article of manufacture
- Complies with 35 U.S.C. 171
- Fixable
 - Amendments to title, claim, description, and drawings required.

Response to Office Action

- Title: <u>Computer display screen with icon for computer display screen</u>
- Claim: The ornamental design for <u>a computer</u> <u>display screen with</u> an icon for computer display screen as shown and described.

PRACTICE POINTS

Title

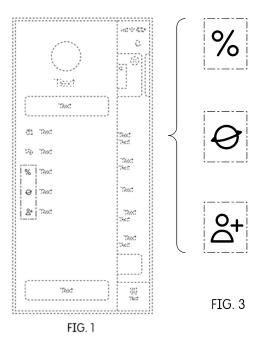
- Claim article of manufacture with the claimed object or icon.
- "Display Screen or portion thereof with . . .
- Make sure not to claim an Icon alone
- Make sure not to claim a virtual image alone
- Figures
 - Show a dashed line for the article of manufacture possibly adopt drawing as shown in Example 4.

- Integral and Active Component in the operation of a programmed computer displaying the design
- Describe and show article of manufacture
 - Does not need to be claimed
 - Show design in its environment



EXAMPLES OF RECENTLY ISSUED PATENTS

Coinbase - D1,020,795 Display screen with icon group and display screen with icon set



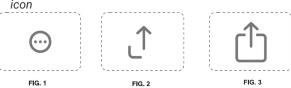
S&P Global - USD1,009,077 Display screen with a transitional graphical user interface



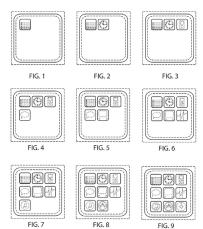
S&P Global - USD1,008,285 Display screen with a transitional graphical user interfac



Apple - USD1,009,932 Display screen or portion thereof with animated icon



Apple - USD882,599 Display screen or portion thereof with icon





Cory Schug

Partner

e: cory.schug@wbd-us.com t: 336.574.8051



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The Fire Race: Sprinting to **Market with LavaBox Portable Campfire**

Joshua Thurmond
Chief Eruption Officer

LavaBox Portable Campfire





Design Law Treaty

Continued Steps Toward Worldwide Harmonized Industrial Design Protection

David R. Gerk

Principal Counsel and

Director for Patent Policy,

Office of Policy and International Affairs, USPTO

Rich Stockton

Shareholder

Banner & Witcoff, Ltd.

(Chicago)



Summary

- •What is the DLT?
- DLT Highlights
- What the DLT Is Not

What is the Design Law Treaty?

What is the DLT?

The DLT is an agreement between nations to harmonize industrial design protection procedures

What is the DLT's Goal?

"The future treaty aims to streamline the global system for protecting industrial designs, making it easier, faster and more affordable for designers to protect their work in home markets as well as overseas."

Source: WIPO DLT home page (emphasis added)

DLT Anatomy

- 32 Articles
 (WIPO Assembly amends)
 - 21 substantive
 - 11 procedural
- 21 Rules
 (DLT Assembly amends)



DLT Origins

- 20+ years negotiations
- Design equivalent of earlier patent and trademark protection procedure treaties
- Similar framework



2000



DLT: Upcoming Negotiations

2024 Riyadh
Diplomatic Conference

Design Law

November 11-22, 2024

DLT: Your Comments Requested!

USPTO seeks comments

- Design Prosecution
 Experiences Abroad
- DLT Articles/Rules Text
- DLT Additions/Subtractions
- Any Other Relevant Insight
- Due June 25, 2024



Federal Register/Vol. 89, No. 60/Wednesday, March 27, 2024/Notice

Please refer to the MCP for projects and activities designed to meet each objective, the evaluative criteria, and priority rankings. This notice announces that NMFS 1

This notice amounces that NMFS has reviewed the MCP and determined that It satisfase the requirements of the McGround Section of the McGround Section of the McGround Section of the Indian approved the MCP for the time path of the McGround Section of this active through the McGround Section of the McGround Section of

Datod: March 23, 2024.
Everett Wayne Baxter.
Acting Director, Office of Sustainable
Finheries, National Marine Fisheries Serv.
[Fit Doc. 2024-0640; Fithel 3-26-24; E-45. om]
BRIDDOCC 2029-22-9.

DEPARTMENT OF COMMERCE

Patent and Trademark Office [Docket No.: PTO-C-2024-0008]

MIPO Diplomatic Conference on the Design Law Treaty

AGENCY: United States Patent and Trademark Office, Department of Commerce. ACTION: Notice and request for

comments.

SUMMANY: The United States Patent and Tradomark Office (USPTO). Department of Commerce, reguests pulped on negotiations at the World Immunests on negotiations at the World Immunestry Property Organization (WIPO) researcing proposed Design Law Treaty (DLT). A proposed Design Law (Design). A propose

The negotiations at the Diplomatic Conformers will be the culment of years of discussions at the WHO of Standing Committee on the Law of Trademarks, Industrial Designs, and Geographical Indications (SCI). The provisions of the DLT will pertain the protection of the DLT will pertain to the protection of the discussion of the DLT will pertain the protection of the discussion of the DLT will pertain the protection of the discussion of the DLT will pertain the protection of the discussion of the DLT will pertain the protection of the discussion of the DLT will be protected by the DLT will be proved by the DLT will be protected by the DLT will be prot

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procedures.

The draft DLT aims to help designer obtain easier, faster and cheaper protection for their industrial desirboth in domestic and from ma The DLT would expensive the which are a province of the protection of the prote

streamlined and aligned procedures and requirements.

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Design Law Treaty Highlights

DLT Highlights: Issues Summary

- Applicable Applications
- Maximum Requirements
- Grace Period
- Term
- Electronic Means

How to Read the "Official" DLT Text

- Likely Consensus
- Divergent
- Proposed



Article 6 Grace Period for Filing in Case of Disclosure

- [(1)] A disclosure of the industrial design during a period of six or 12 months preceding the date of filing of the application or, if priority is claimed, the date of priority, shall be without prejudice to the novelty and/or originality, as the case may be, of the industrial design, where it was made:
 - (i) by the creator or his/her successor in title; or
- (ii) by a person who obtained information about the industrial design directly or indirectly, including as a result of an abuse, from the creator or his/her successor in title.

I(2)(a) A Contracting Party whose law, at the time it becomes party to this Treaty, provides that the grace period under paragraph (1) is triggered by acts other than those referred to in paragraph (1) may, in a declaration, notify the Director General that the grace period shall be triggered in the territory of that Contracting Party only by those acts.

- (b) The acts that may be notified pursuant to subparagraph (a) are the following:
- (i) A disclosure of the industrial design made for the first time for the purpose of public interest when a state of emergency or an extraordinary situation occurred in the country:
- (ii) A disclosure of the industrial design made for the first time at an international exhibition, at prescribed academic or technological activities:
- (iii) A disclosure of the industrial design by another person without the consent of the applicant.
 - (c) Any declaration notified under subparagraph (a) may be withdrawn at any time.]

Proposal by the Delegation of Japan

Grace Period for Filing in Case of Discl

A disclosure of the industrial design during a period filing of the application or, if priority is claimy the novelty and/or originality. as the

A2(1): Applicable Applications

(1) Applications

This Treaty shall apply to national and regional applications which are filed with, or for, the Office of a Contracting Party and to divisional applications thereof.

A6(1): Grace Period

Article 6(1)

A disclosure of the industrial design during a period of six or 12 months preceding the date of filing of the application or, if priority is claimed, the date of priority, shall be without prejudice to the novelty and/or originality, as the case may be, of the industrial design, where it was made:

- (i) by the creator or his/her successor in title; or
- (ii) by a person who obtained information about the industrial design directly or indirectly, including as a result of an abuse, from the creator or his/her successor in title.

A6(2): Declaration re Grace Period

- 2(a) A Contracting Party whose law, at the time it becomes party to this Treaty, provides that the grace period under paragraph (1) is triggered by acts other than those referred to in paragraph (1) may, in a declaration, notify the Director General that the grace period shall be triggered in the territory of that Contracting Party only by those acts.
- (b) The acts that may be notified pursuant to subparagraph (a) are the following:
 - (i) A disclosure of the industrial design made for the first time for the purpose of public interest when a state of emergency or an extraordinary situation occurred in the country;
 - (ii) A disclosure of the industrial design made for the first time at an international exhibition, at prescribed academic or technological activities;
 - (iii) A disclosure of the industrial design by another person without the consent of the applicant.
- (c) Any declaration notified under subparagraph (a) may be withdrawn at any time.

A6: Grace Period Triggering Disclosures

Triggering Disclosure

Comment

By/through creator	Current A6(1)
 Emergency in public interest International exhibition, at "prescribed activities" Unauthorized 	Current A6(2), By declaration may limit "ONLY" to these disclosures
 By creator at "exhibition notified" per national law Through creator w/o consent 	India proposal, supported by China, Nepal, Niger

A6: Minimum Grace Period Length

Length

Support Indicated By

12

United States, Australia, Canada, France, Japan, Korea, Moldova, Switzerland, Ukraine, United Kingdom

months

6

Brazil, China, Ghana (on behalf of Africa Group), India, Iran, Nepal, Niger, Russia

months

A9(1): Publication

(1)Maintaining the Industrial Design Unpublished

A Contracting Party shall allow the industrial design to be maintained unpublished for a period fixed by its applicable law, subject to the minimum period prescribed in the Regulations.

A12(2): Failure to Timely Act

(2) [Continued Processing]

Where an applicant or holder has failed to comply with a time limit fixed by the Office of a Contracting Party for an action in a procedure before the Office, and that Contracting Party does not provide for the extension of a time limit under paragraph (1)(ii), the Contracting Party shallmay provide for continued processing with respect to the application or registration and, if necessary, reinstatement of the rights of the applicant or holder with respect to that application or registration, if:

A17-18: License Recording

Non-recordal "shall not affect the validity of the registration of the industrial design which is the subject of the license, nor the protection of that industrial design."

"A Contracting Party may not may require [recordal] as a condition for" (a) a licensee to join infringement proceedings or (b) "to obtain, by way of such proceedings, damages"

Where required, failure to indicate that the industrial design is used under a license "shall not affect the validity of the registration of the industrial design which is the subject of the license, nor the protection of that industrial design.

A9bis (proposed): Minimum Term

Proposed Article 9bis

By

Comment

Minimum term "of at least 15 years from either: (a) the filing date, or (b) the date of grant or registration."

Hague A17(3)(a): 15 years from int'l registration

Minimum term either "Article 17 of the Hague Convention or Article 26 of the TRIPS Agreement." NG



TRIPS A26(3):

"The duration of protection available shall amount to at least 10 years."

A14bis (proposed): Priority Document Exchange

Proposed Article 14bis

By

Comment

"A Contracting Party shall provide for electronic exchange of priority documents for applications."





A9ter (proposed): **Electronic Filing and Searching**

Proposed Article 9ter

"A Contracting Party shall provide ... a system for electronic application"

US



"A Contracting Party shall provide ... a publicly available electronic information system, which must include an online database of registered industrial designs"

A9quater (proposed): Electronic Filing and Searching

Proposed Article 9quater (redlined to A9ter)

By

"A Contracting Party shallmay provide a system for electronic application"

NG



"Contracting Parties shall not be required to provide ... a publicly available electronic information system, which must include nor an online database of registered industrial designs"

What the **Design Law Treaty** is Not

What's Missing in the DLT?

- Missing from Matters in the DLT
 - Substantive Harmonization
 - Additional Procedural Harmonization

- Missing Matters Altogether
 - Unity
 - Continuation Practice
 - Sufficiency of Disclosure

A1bis(1): DLT Does Not Require Substantive Harmonization

The DLT does not "limit the freedom of a Contracting Party to prescribe such requirements of the applicable substantive law relating to industrial designs as it desires."

DLT Novelty-Related Harmonization

TRIPS A25(1)

DLT

"Members may provide that designs are not new or original if they do not significantly differ from 555 known designs or combinations of known design features."

DLT Novelty-Related Harmonization?

- Maybe...
 - Term
 - Triggering
 Disclosures
- Maybe Not...
 - Declarations
 - Additive Grace Period
 - Substance...

DM/1/II (E) ANNEX II Supporting Document(s) Concerning a Declaration to the Exception to Lack of Novelty under Section 408(c)(ii) of the Administrative IMPORTANT This Annex can be used to submit documents in support of a declaration concerning exception to lack of novelty to the China National Intellectual Property Administration (CNIPA), the Japan Patent Office (JPO) and/or the Korean Intellectual Property Office (KIPO) (refer to form DM/1, item 15). The submitted document(s) will be transmitted by WIPO to the Office(s) concerned. This Annex must be submitted with form DM/1 at the time of filing. Submit only one Annex II per declaration to the exception to lack of novelty, regardless of how many 4. Please note that a declaration concerning exception to lack of novelty might affect the applicant's rights in other jurisdictions. It is the responsibility of the applicant to ensure that their rights are preserved. 5. For detailed information on the procedure when designating Japan, please visit the JPO website. 6. Please number your pages. For use by the applicant

This Annex concerns the international application r

Reference

Substance: Toward Novel Novelty: Curver Luxembourg v. Home Expressions

938 F.3d 1334 (Fed. Cir. 2019)

"...[W]e hold that claim language can limit the scope of a design patent where the claim language supplies the only instance of an article of manufacture that appears nowhere in the figures."



Pattern for a Chair



Accused **Product**







Substance: Arriving at Novel Novelty: In re SurgiSil 14 F.4th 1380 (Fed. Cir. 2021)

"A design claim is Imited to the article of manufacture identified in the claim"

Thus, lip implant not anticipated by art tool

29/491550 "Lip Implant"

Prior Art Art Tool

102 REVERSED



DLT Functionality Harmonization?

TRIPS A25(1)

DLT

"Members may provide that [industrial design] protection shall not extend to designs dictated essentially by technical or functional considerations."

(none)

DLT Exceptions Harmonization?

TRIPS A26(2)

DLT

"Members may provide limited exceptions to the protection of industrial designs, provided that such exceptions do not unreasonably conflict with the normal exploitation of protected industrial designs and do not unreasonably prejudice the legitimate interests of the owner of the protected design, taking account of the legitimate interests of third parties."

(none)



Thank you

David R. Gerk

Principal Counsel and Director for Patent Policy, Office of Policy and International Affairs, USPTO

Rich Stockton

Shareholder
Banner & Witcoff, Ltd.
(Chicago)





Best Practices for using the Hague System: The International Solution for Design Protection

Quan-Ling (Quan) Sim
Head, Operations Service (Hague)
The Hague Registry
Brands and Designs Sector

Thursday, May 9, 2024



Overview

- Introduction
- Statistics
- Recent and Future Developments
- eHague Filing
- DAS
- Practice Tips
- Hague is the future

Please send questions to the DesignDay@uspto.gov mailbox.



Introduction

WIPO | HAGUE

The International Design System

Please send questions to the DesignDay@uspto.gov mailbox.



Hague System

- A unique business solution
 - Protection in all designated CPs
 - 79 Contracting Parties, protection in 96 countries (as of April 2024)
 - Recent accessions: Mauritius (May 6, 2023), Brazil (August 1, 2023)
 - Upcoming accessions: India and Saudi Arabia
 - Protect up to 100 designs in one application
- Secure and manage design rights in multiple jurisdictions through just one application
- The payment of a single set of fees, in one currency and with on Office

Please send questions to the DesignDay@uspto.gov mailbox.

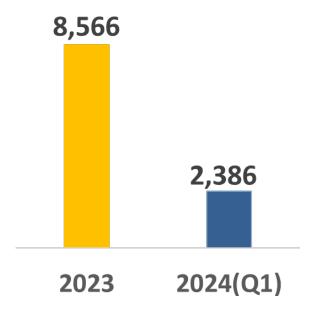




Please send questions to the DesignDay@uspto.gov mailbox.



Total applications received

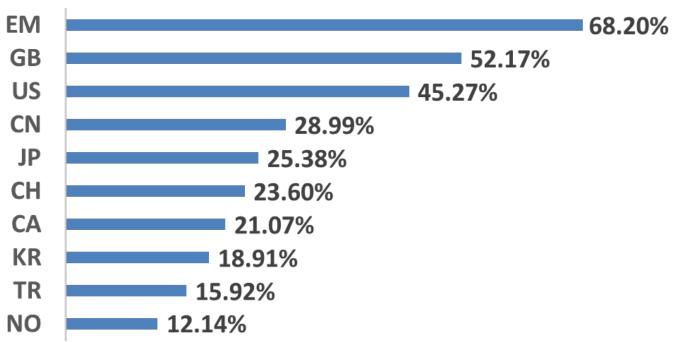


- Latest forecast for 2024 (April 2024 estimates)
 - 9000+ applications (9080): 6% increase
 - ~9000 registrations (8760): 4.7% increase

Please send questions to the DesignDay@uspto.gov mailbox.



2023 Top 10 designations



Please send questions to the DesignDay@uspto.gov mailbox.



 Percentage distribution of regular application processing time

"Regular application": applications that have not received an irregularity letter due to reasons such as insufficient payment, claim adjustments and so on



Please send questions to the DesignDay@uspto.gov mailbox.



Developments



Please send questions to the DesignDay@uspto.gov mailbox.



Developments since 2023

- Representative change publication (April 2023)
- Strong authentication (June 2023)
- eHague portfolio management(December 2023)
- Extra design fee increase from 17 CHF to 50 CHF (January 2024)
- Design specific descriptions (January 2024)
- New payment methods
 - Digital Wallet (Apple, Google, etc.)
 - Sofort / Klarna



Future Developments

- DAS code validation integrated in eHague Filing
- Improvements in eHague portfolio management
- Detailed application status updates in eHague
- Vector drawings
- New bulletin layout (already in production)
- Alipay as a new payment method
- Hague Working Group updates

Please send questions to the DesignDay@uspto.gov mailbox.



New bulletin layout

Presentation and user experience improvements

Browse by Bulletin Search by Registra	tion Number	Bulletin archives 2004-2011 Download	XML Bulletin	The Bulletin is the official publication of the Hague S registrations, renewals, and modifications affecting e			
International Designs Bulletin				covers entries published in the Bulletin after 2012. For older records, please refer to the Bulletin archives.			
	ing international	t contains data regarding new international re registrations. The search feature below covers e refer to the Bulletin archives.		Browse by Bulletin Search by Registration Number Publication Year 2024 > Bulletin No - Publication of Recording Type	Shortcuts Help Bulletin archives 2004-2011		
Publication				Registrations (1960 and 1999 Acts)	Download XML Bulletin Notes on Bulletin information		
Year* 2024	~	Bulletin No Publication date* 16 - 19.04.2024	•	Registration Number =	Country Codes (ST.3) [7] INID Codes (ST.80) [7] Locarno classification		
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Recording Type* Registrations (1960 and 1999 Acts)			~	AND v Indication of Products v = AND v Holder v =			
Query				AND V Designated Contracting Parties V =			
Search Criteria	~	Value		Search			
		Reset Add Criteria	Search				

New

Current

Please send questions to the DesignDay@uspto.gov mailbox.



Hague Working Group

- HWG 12 (December 4-6, 2023)
 - Proposals to the Hague Assembly (in July 2024) to:
 - Freeze the application of the 1960 Act to simplify the Hague System
 - Proposed date of effect of January 1, 2025
 - Resulting adjustments of the Regulations and the Administrative Instructions
 - Amend Rule 14 of the Regulations to introduce an extension of a time limit to correct an irregularity of an international application
 - Proposed extension period of 2 months
 - Ongoing discussions on:
 - Possible introduction of new Hague System languages
 - Possible enhancement of the Hague System's financial sustainability
- HWG 13 (October 21-23, 2024)



eHague Filing



Please send questions to the DesignDay@uspto.gov mailbox.



eHague Filing

- What is eHague Filing?
 A gateway that allows you to digitally and securely file your international design applications and renew your registrations.
- Why is eHague Filing?
 - Efficient
 - Economical
 - Centralized
 - Global

Please send questions to the DesignDay@uspto.gov mailbox.



eHague Filing

FILING						
New Filed application(s) Draft(s) [108) My Workbench						
Application language*	~					
The application language determines notably the language of the registration certificate.						
New application New application using data from a filed application New application from a draft						
Warning						
It is a requirement under the law of the United States of America that, for designs created in the United States of America, the applicant first obtains a license from the United States Patent and Trademark Office (USPTO) before filing outside of the United States of America.						
It is a requirement under the law of the Russian Federation that designs created in the Russian Federation by Russian legal entities or nationals are subject to a security clearance procedure by the Federal Service for Intellectual Property (ROSPATENT) to ensure that the designs do not contain state secrets.						
Со	nfirm					

Intuitive – integrates mandatory requirements.
Automatic fee calculation.
Provides helpful hints.
Leads you to important and relevant information.

Please send questions to the DesignDay@uspto.gov mailbox.



DAS



Please send questions to the DesignDay@uspto.gov mailbox.



DAS (Digital Access Service)

What is DAS?
 Digital access service is an electronic system that enables applicants and offices to meet the requirements of the Paris Convention for certification in an electronic environment.

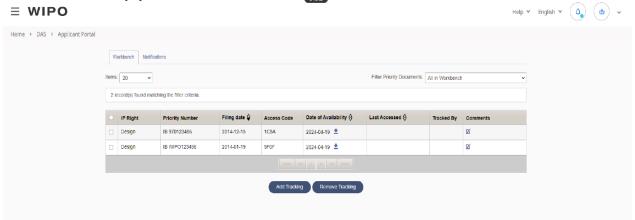
- Why choose DAS?
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 - Quick
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Practice Tips



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Practice Tips (1)

- File directly using eHague-Filing
- Send correspondence electronically using Contact Hague (do not send paper to the International Bureau)
- Hague guidance on reproductions
 https://www.wipo.int/export/sites/www/hague/en/docs/guidance_reproductions.pdf

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Practice Tips (2)

- Product indication (avoid punctuation such as brackets, sufficiently precise for classification)
- Description requirements, e.g. CN
- Pay attention to application number formats when using DAS, especially the suffix, e.g. D for JPO, -NNNN for EUIPO (according to the number of designs you apply for)

JP Design JP YYYY-NNNNNN D JP-2010-001234 D

EM Design EM NNNNNNNNNNNNN EM 000232323-0001

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Thank you

Quan-Ling Sim
Head
Operations Service (Hague)
The Hague Registry

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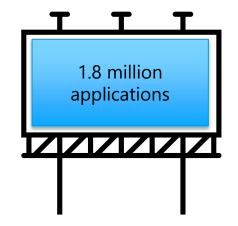


Design protection in Europe: Overview of the Main Changes in Legislation

Alexandra Mayr
Team Leader International Cooperation Service
European Union Intellectual Property Office (EUIPO)









EUIPO

European Union Design

- EU Design RegulationEUDR
- EU Design Implementing Regulation - EUDIR
- EU Design Delegated Regulation - EUDDR

Member States
National Designs

Design Directive

Phases of the Reform – Amending Regulation and secondary legislation





Enters into force on the 20th day following publication in the OJEU but the amendments actually apply from the first day of the month following 4 months after the date of entry into force

AR articles that need to be developed by secondary legislation + secondary legislation (Implementing and Delegated Regulations) apply from the first day of the month following 18 months after the date of entry into force of the AR



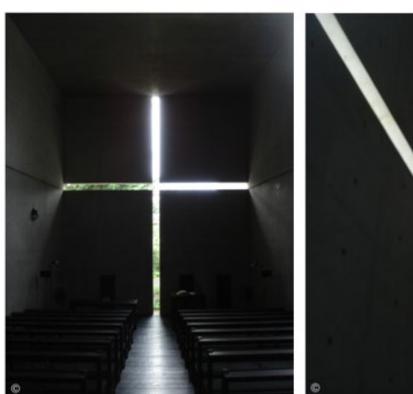
Overview of the main changes

- Terminology and structural changes
- Modernized definitions and design representation regime
- Clarified object and scope of a design right
 - Simplified and streamlined procedures
- New fee regime to make EU designs more affordable for SMEs and individual designers

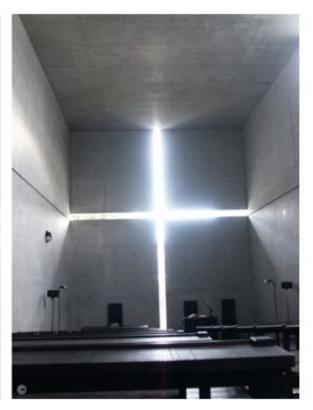


Terminology changes

- Community European Union (the 'Union')
- Community Design Regulation -European Union Design Regulation ('EUDR')
- RCD REUD
- UCD UEUD
- CDR EUDR (+EUDDR)
- CDIR-EUDIR
- CD court-EUD court







Church of the Light / Tadao Ando Architect

New Designs Do products really have no influence on the design protection?

- Partial designs
- Visibility requirement
- Single application for virtual and physical product
- Product indications



RCD 5282019-0019, 10-01 Digital clocks

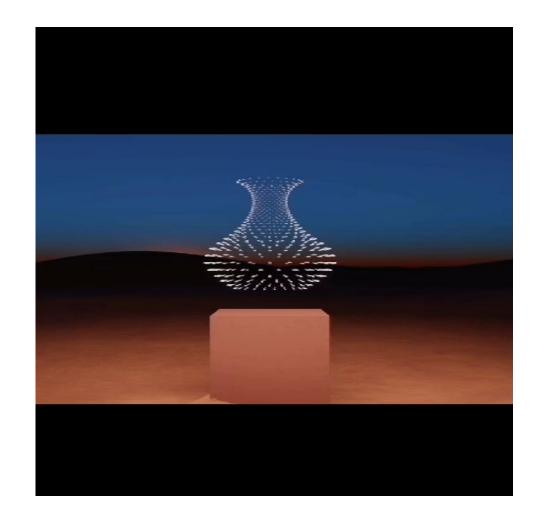
New Designs Do they protect spaces?

- 'Get-ups'
- Physical and virtual spaces
- Interiors and exteriors



RCD 015006467-0001, Class: 32.02 - Get-up

Living Vase by Hunn Wai and Francesca Lanzavecchia as presented for Vogue Singapore





'Article 3

Definitions

For the purposes of this Regulation, the following definitions apply:

- (1) 'design' means the appearance of the whole or a part of a product resulting from the features, in particular, the lines, contours, colours, shape, texture, materials of the product itself and/or its decoration, including the movement, transition or any other sort of animation of those features.
- (2) 'product' means any industrial or handicraft item other than computer programs, regardless of whether it is embodied in a physical object or materialises in a non-physical form, including:
 - packaging, sets of articles, spatial arrangement of items intended to form, an
 interior or exterior environment, and parts intended to be assembled into a
 complex product;
 - (b) graphic works or symbols, logos, surface patterns, typographic typefaces, and graphical user interfaces?



'Article 18a

Object of protection

Protection shall be conferred for those features of the appearance of a registered EU design which are shown visibly in the application for registration.;



Clarity is the only 'filing date' design representation requirement in Design Regulation and Design Directive

Future challenge:

*** the definition of clarity

a sufficiently clear representation of the design , permitting

the subject matter for which protection is sought to be determined.

New fee regime

Single application fee

Fee payment to obtain a filing date

Multiple registrations:

- · Unity of class requirement abolished
- fee brackets abolished
- cap of 50 designs added

Fees reduced:

- Invalidity to 320 EUR
- Appeal to 720 EUR

Fees deleted:

- transfer
- · inspection fee

IR renewal increased to 62 EUR

New fees:

- continuation of proceedings
- alteration

	Current fees	New fees
Application fee (incl. publication)	350	350
Fee for each design from the 2 nd to the 10 th	175	125
Fee for each design from the 11 th design onwards	80	125
Renewals		
1 st	90	150
2 nd	120	250
3rd	150	400
4 th	180	700



Clarified object and scope of a design right

Scope of exclusive right

- Acts enabling copies to be made using 3D Printing technologies
- Seizure of counterfeit goods in transit

Limitations of the effects of a design include

- > Referential use
- Acts for purpose of comment, critique and parody



Clarified object and scope of a design right

Spare parts protection regime harmonized





Simplified and streamlined procedures

Easier filing and communication

- Unity of class requirement abolished
- Article 6ter of Paris convention new ground prohibiting registration
- Changes to deferment and renewal regimes
- Amendment and alteration regime



Simplified and streamlined procedures

Easier filing and communication

- Simplified design invalidity procedures, i.e. to allow 'fast-track invalidation'
- E-COM sole means of communication with the Office
- Partial invalidity abolished
- Possibility of proof of use



Registration symbol

The holder of a registered EU design may inform the public that the design is registered by displaying on the product in which the design is incorporated or to which it is applied the letter D enclosed within a circle. Such design notice may be accompanied by the registration number of the design or hyperlinked to the entry of the design in the Register.';





Challenges ahead

- EU harmonization of laws and practices
- International harmonization of laws and practice Design awareness raising
- Designs in the future



Thank you

For more details we invite you to watch:



Please send questions to the DesignDay@uspto.gov mailbox

Updates on Design Patents in China 2024

TOTAL STATE OF THE STATE OF THE

Toby Mak, Patent Attorney
Tee & Howe IP Attorneys



Fireside Chat with PTAB Administrative Patent Judges

TO TOUT OF TOUT OF THE PARTY OF

Tracey Durkin

Sterne, Kessler, Goldstein & Fox PLLC

Hon. Robert Kinder

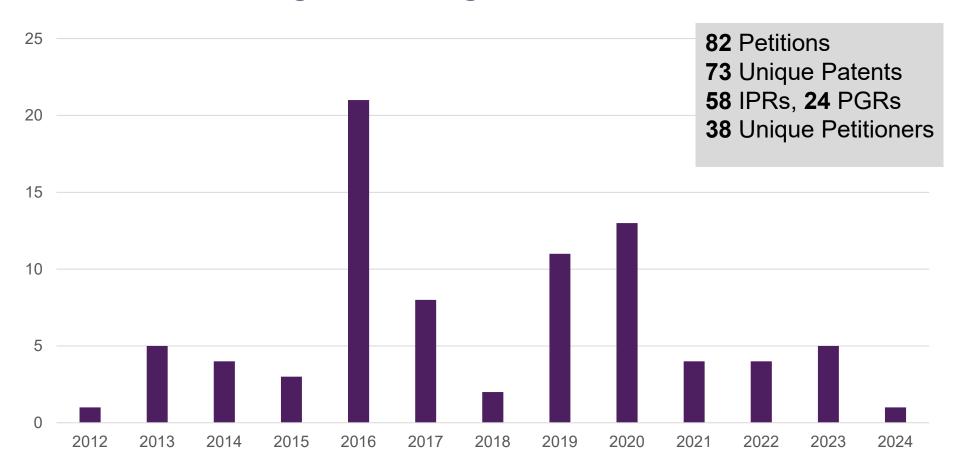
PTAB USPTO

Hon. Rae Lynn Guest

PTAB USPTO



PTAB Petitions Against Design Patents





Design Patents at the PTAB Top Petitioners

Petitioner	Proceedings
LKQ Corp./Keystone Automotive	20
Skechers USA	15
Trinity Manufacturing	4
Campbell Soup Co.	4
Masimo Corp.	4
Graco Children's Products	3
Sensio Inc. d/b/a Made by Gather	3
Sattler Tech Corp. d/b/a Wali Electric	2
Man Wah Holdings	2
Early Warning Services	2
Ideavillage Products Corp.	2
Dorman Products	2
Johns Manville Corp.	2
Samsung Electronics	2



Design Patents at the PTAB Top Patent Owners

Patent Owner	Proceedings
GM	20
Nike	15
Apple	4
Gamon Intl.	4
Kolcraft	3
Select Brands	3
PACCAR	2
Knauf Insulation	2
Raffel Systems	2
Koninklijke Philips	2
Wepay Global Payments	2

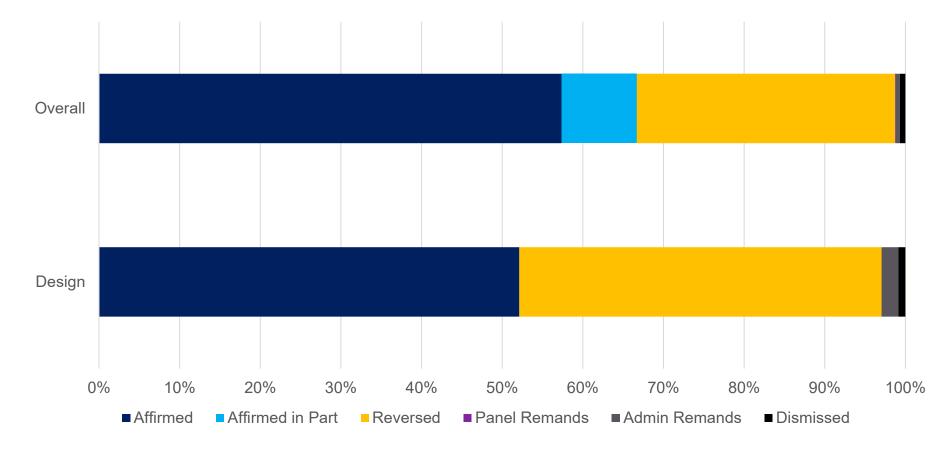


IPR/PGR Claim Outcomes Design Patents

- The institution rate for design patent IPRs/PGRs is only 38% (30/78), slightly higher for IPRs, slightly lower for PGRs
- At final written decision, 17 design patent claims have been cancelled, and 9 have been ruled not unpatentable, a 65% claim cancellation rate overall, with similar cancellation rates for both IPRs and PGRs



Ex Parte Appeal Outcomes FY2020-24





Takeaways

- When challenged at the PTAB, design patents have been more likely to stand up to Board scrutiny at both institution and final written decision when compared to
- Design patent PTAB challenges have been rare. The LKQ/GM series of proceedings accounts for nearly one-quarter of all design patent PTAB challenges (20/82).
- Though ex parte appeals of design applications are also relatively rare, the reversal rate in design applications is higher than the PTAB baseline for the last several years (45% vs. 32%).



Fireside Chat with PTAB Administrative Patent Judges

TO TOUT OF TOUT OF THE PARTY OF

Tracey Durkin

Sterne, Kessler, Goldstein & Fox PLLC

Hon. Robert Kinder

PTAB USPTO

Hon. Rae Lynn Guest

PTAB USPTO



Report from the Front Lines



Alaina Pak

Design Day 2024

Federal Circuit 2023

Columbia Sportswear North America, Inc. v.

Seirus Innovative Accessories, Inc.

LKQ Corporation

V.

GM Global Technology Operations LLC

Columbia Sportswear North America, Inc. v.

Seirus Innovative Accessories, Inc.

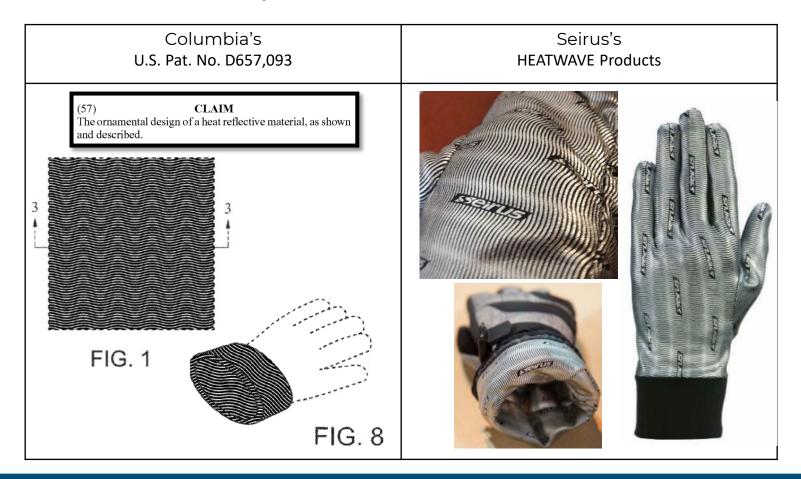
Columbia Sportswear North America, Inc. v.

Seirus Innovative Accessories, Inc.

– Found that the <u>scope of comparison</u> <u>prior art</u> should be limited to the

identified article of manufacture

 Reiterated that <u>ornamental logos</u> may be considered

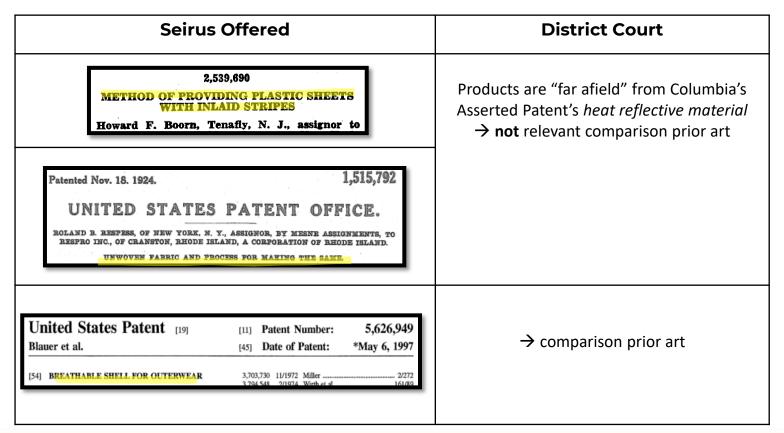




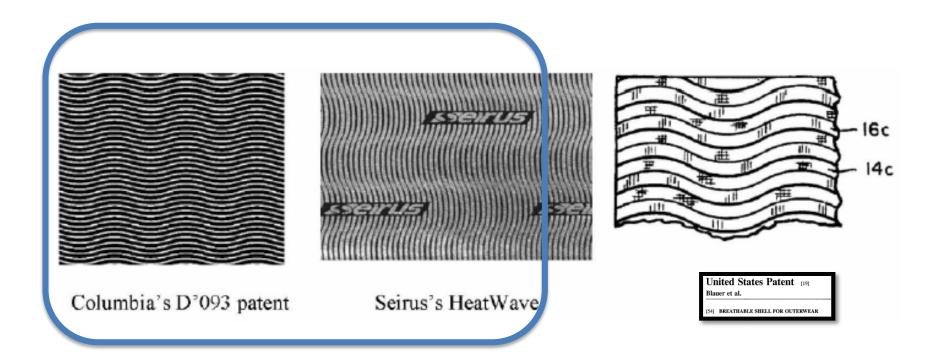
- Ordinary Observer Test for Design Patent Infringement
 - o "[I]f, in the eye of an ordinary observer, giving such attention as a purchaser usually gives, two designs are substantially the same...."
 - Egyptian Goddess Test
 - Comparison Prior Art vs. Accused Design vs. Patented Design
 - o The "ordinary observer is deemed to view the differences between the patented design and the accused product in the context of the prior art," and "when the claimed design is close to the prior art designs, small differences between the accused design and the claimed design are likely to be important to the eye of the hypothetical ordinary observer"



Comparison Prior Art

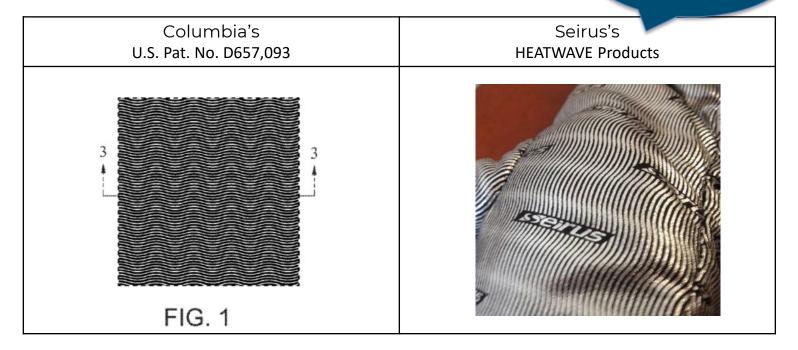








Logos throughout make the design different enough









Precedent: disregard logos in infringement analysis



Columbia's U.S. Pat. No. D657,093 FIG. 1





- Summary judgment of infringement
 - Declined to consider 2 of 3 of Seirus's comparison prior art references
 - Declined to consider logo in infringement analysis

- Jury awarded Columbia \$3M+
- Seirus appealed



"Columbia I" (Fed. Cir. 2019)

- District Court: Summary judgment of infringement
 - o Declined to consider 2 of 3 of Seirus's comparison prior art references
 - Declined to consider effect of logo in infringement analysis

Columbia I: Vacated and Remanded

- Jury, not district court, should have compared Comparison Prior Art vs.
 Accused Design vs. Patented Design
- Logo placement and appearance may be considered. But a "would-be infringer should not escape liability for design patent infringement if a design is copied but labeled with its name"

On remand, the jury found no infringement. Columbia appealed.



"Columbia II" (Fed. Cir. 2023)

• The scope of **comparison prior art** is limited to the article of manufacture identified in the claim

(57) **CLAIM**The ornamental design of a heat reflective material, as shown and described.

- "prior-art designs will help in that comparison only to the extent that they too are applied to that article of manufacture"
- o "This standard is already in the system."
- o "easy to articulate and provides clear boundaries"



"Columbia II" (Fed. Cir. 2023)

- "This standard is already in the system."
 - In re SurgiSil: To be anticipatory, the prior art must be applied to the article of manufacture identified in the claim.
 - o *Curver*: To be **infringing**, the **accused designs** must be applied to <u>the article of manufacture identified in the claim</u>.
 - Now... Columbia II: To be comparison prior art, the prior art must be applied to the article of manufacture identified in the claim.



"Columbia II" (Fed. Cir. 2023)

Logos

- Court did not instruct jury regarding the distinction between trademark law and design patent law
- "In design-patent-infringement cases involving logos, we appreciate the potential for a jury to be led astray and mistakenly conflate the significance of a logo's sourceidentifying function with whatever impact it might have on a comparison of the designs. <u>But district courts are in</u> the best position to decide whether and when to provide clarification in the course of conducting a trial."



Columbia Sportswear North America, Inc. v.

Seirus Innovative Accessories, Inc.

Found that the <u>scope of comparison prior</u>
 <u>art</u> should be limited to the identified article
 of manufacture

 Reinforced that <u>ornamental logos</u> should be considered

LKQ Corporation

V.

GM Global Technology Operations
LLC

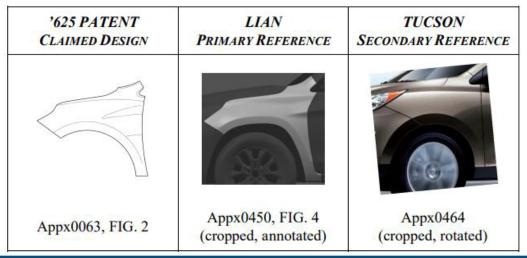
LKQ Corporation

V.

GM Global Technology Operations LLC

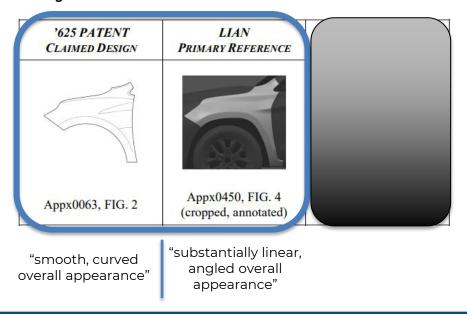
- Issue: standard for obviousness analysis for design patents
- En Banc hearing on Feb. 5, 2024; decision pending
 - The last en banc opinion in a design patent case was in 2008 (Egyptian Goddess)

- LKQ was a licensed part vendor for GM until failed license renewal negotiations
 - → unlicensed parts allegedly infringed GM's design patents
- LKQ petitioned for IPR to invalidate GM's D797,625 Patent
- IPR instituted





- Ordinary observer: retail consumers and commercial replacement part buyers who purchase replacement fenders
- No anticipation because of key differences between claimed design and primary reference



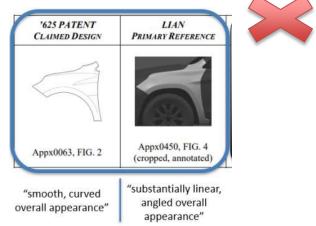


- Obviousness standard: Rosen and Durling
 - Step 1: Does a primary ("Rosen") reference exist with characteristics "basically the same" as the claimed design?
 - Step 2: If so, would an ordinary designer have modified the primary reference to create a design with the same overall visual appearance as the claimed design?



No obviousness

Step 1: Does a primary ("Rosen") reference exist with characteristics "basically the same" as the claimed design?



• Step 2: If so, would an ordinary designer nave modified the primary reference to create a design with the same overall visual appearance as the claimed design?



 LKQ did not show that GM's patent was anticipated or obvious

PTAB ruled in GM's favor

→ LKQ appealed.



LKQ at the Federal Circuit (2023)

 LKQ argued that the KSR obviousness standard for utility patents, (rather than the Rosen and Durling test) should apply to design patents.

Rosen and Durling	KSR
Step 1 : Does a primary ("Rosen") reference exist with characteristics	KSR mandated flexibility in the:
"basically the same" as the claimed design?	scope of the prior art (prior art need not address the specific problem the inventors contemplated)
Step 2: If so, would an ordinary designer have modified the primary reference to create a design with the same overall visual appearance as the claimed design?	motivation to modify the prior art (can apply common sense)



LKQ at the Federal Circuit (2023)

Affirmed.

 "[I]t is not clear the Supreme Court has overruled Rosen or Durling. The panel is therefore bound to apply existing law to this appeal."

→ LKQ filed a petition for rehearing en banc.



 What obviousness standard should apply to design patents?

- Rosen and Durling?
- o KSR?
- o Something else?



• Arguments re **Rosen and Durling** standard

<u>For</u> Rosen and Durling	Against Rosen and Durling
No invalidating designs based on "FrankenArt"	Inconsistent with KSR
Step 1 does allow some flexibility (Does a primary reference exist with characteristics "basically the same" as the claimed design?)	Too strict
Settled law and continued predictability	Too advantageous to large companies like GM "Subsequent designers are forced to transact around commonplace designs that have secured a patent and consumers face higher prices."



Arguments re KSR approach

For KSR approach	Against KSR approach
Establishes consistency with Supreme Court decision	No meritorious grounds to overturn existing standard
Graham and KSR should and can be applied in both utility and design cases	KSR may not carry over well to designs
	Uncertainty and confusion; weakened design system



Options

- Keep Rosen and Durling
- Modify Rosen and Durling
- Overrule Rosen and Durling
- o Create new test
- o Something else?

If LKQ succeeds

- Long-standing two-step standard dropped → flexible standard
- Design patents easier to invalidate > increased focus on invalidity challenges





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WASHINGTON DC

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BOSTON

28 STATE STREET / SUITE 1800 BOSTON MA 02109-1705

PORTLAND

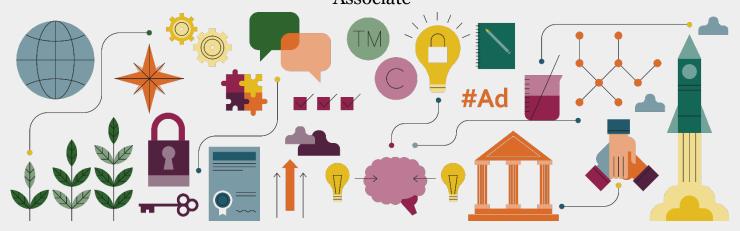
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PORTLAND OR 97204

FINNEGAN

Design Patents at the PTAB 2023-2024

May 9, 2024

Kelly S. Horn Associate



Agenda



Hangzhou v. EP Family (IPR2023-00658) Institution Granted

Masimo v. Apple (IPR2023-00774) Institution Denial

Masimo v. Apple (IPR2023-00831) Institution Denial

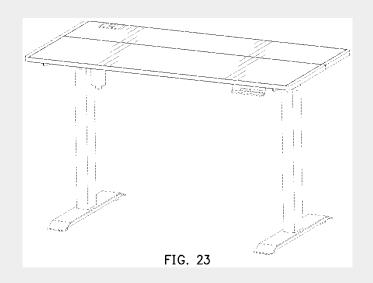
Hangzhou v. EP Family

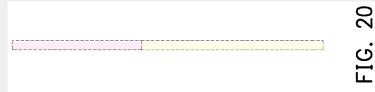
IPR2023-00658, Institution Decision (Paper 8, Sept. 1, 2023)

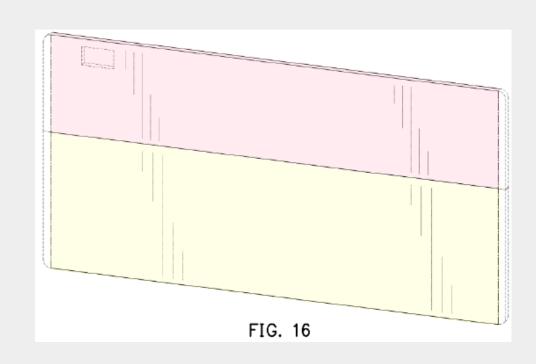
- U.S. Patent No. D934,012
 - "ornamental design for a table top"
- Asserted Unpatentability Grounds:

Ground	Claim(s) Challenged	35 U.S.C. §	Reference(s)/Basis
1	1	102³	Morgan⁴
2	1	103	Morgan
3	1	103	Galant, ⁵ Morgan
4	1	102	Bordonabe ⁶
5	1	103	Bordonabe
6	1	103	Galant, Bordonabe
7	1	102	Iannaccone ⁷
8	1	103	Iannaccone
9	1	103	Galant, Iannaccone

'012 Patent – Claim Construction (Formal)

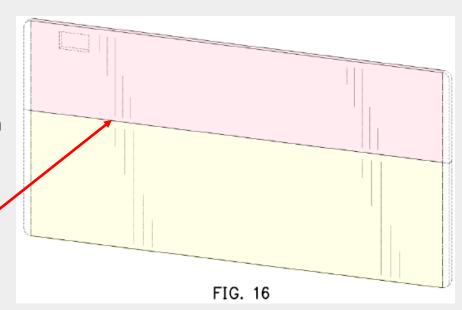




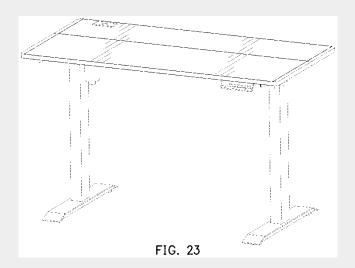


'012 Patent - Claim Construction (Informal)

- Two rectangular portions of matching thickness and equal length
- One rectangular portion is wider than the other
 - Narrower portion is slightly more than ½ width of wider portion
- Portions are joined together along a matching long edge forming a visible seam extending the length of the table top and being off-center due to relative widths of the portions



Hangzhou: Comparison



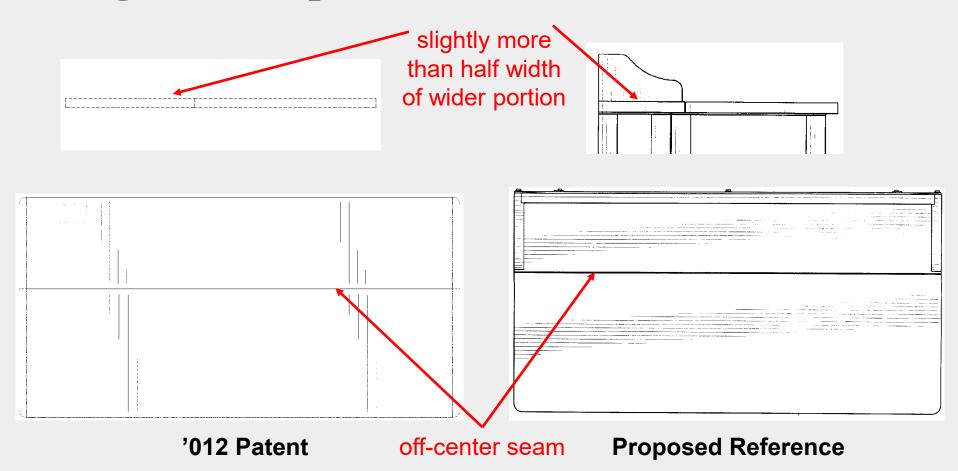
'012 Patent

FIG. 7 FIG. 1

Raised Section

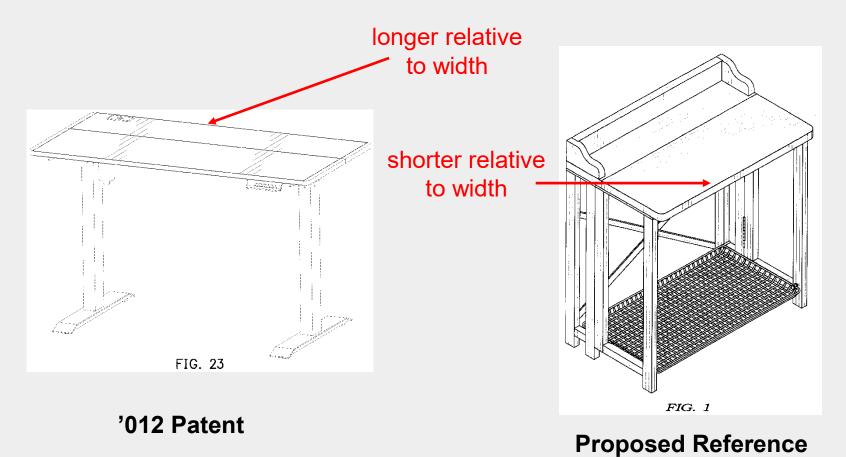
Proposed Reference

Hangzhou: Comparison



FINNEGAN

Hangzhou: Differences



FINNEGAN

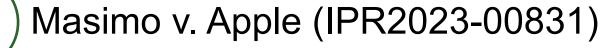
Agenda



Hangzhou v. EP Family (IPR2023-00658)



Masimo v. Apple (IPR2023-00774)



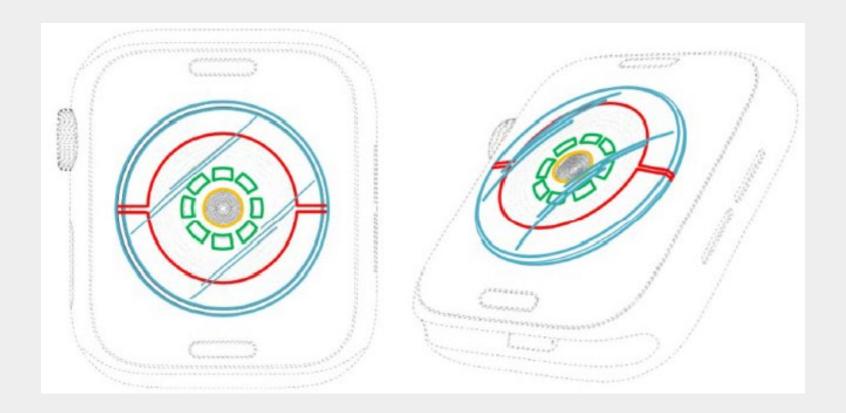
Masimo v. Apple

IPR2023-00774, Institution Denial (Paper 9, Sept. 27, 2023)

- U.S. Patent No. D883,279
 - "ornamental design for an electronic device"
- Asserted Unpatentability Grounds:

Claims Challenged	35 U.S.C. §	Reference(s)/Basis
1	103³	Paulke, Mendelson, Bushnell,
		Chung
1	103	Yuen, Mendelson, Bushnell,
		Chung
1	103	Fong, Mendelson, Bushnell, Chung

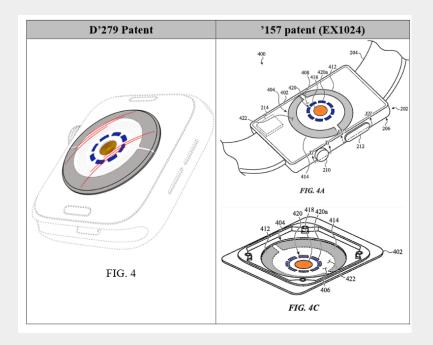
'279 Patent – Claim Construction



Petitioner: '279 patent includes functional design elements that should be factored out of the claim's scope



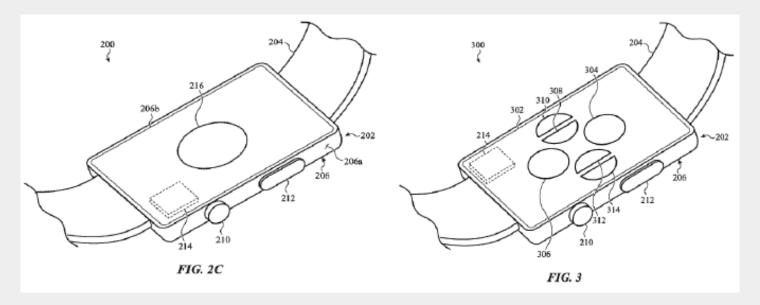
Petitioner: '279 patent includes functional design elements that should be factored out of the claim's scope



Board: Even if some individual elements have functional purpose, piecemeal exclusion proposed by Petitioner does not adequately account for "overall appearance" of design



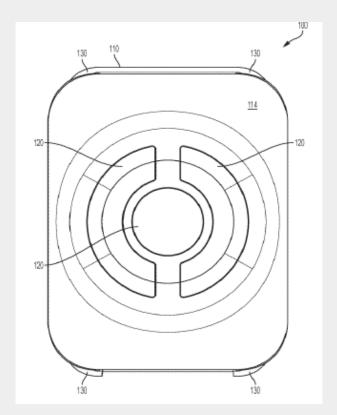
Board: Even if some individual elements have functional purpose, piecemeal exclusion proposed by Petitioner does not adequately account for "overall appearance" of design



Masimo – Unsuitable Primary References

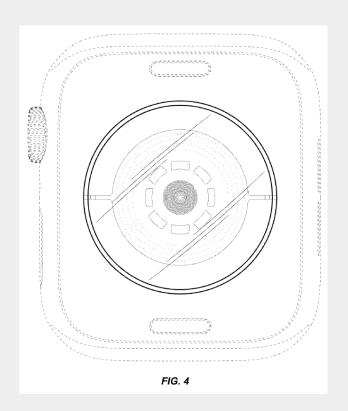


'279 Patent

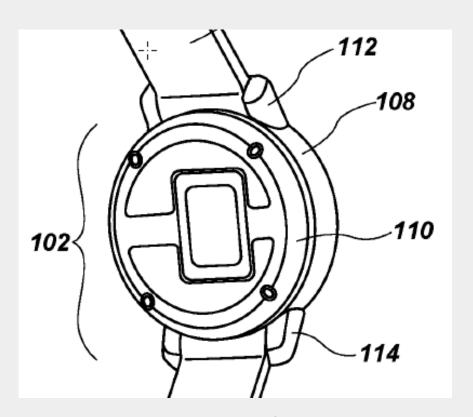


Proposed Reference

Masimo – Unsuitable Primary References

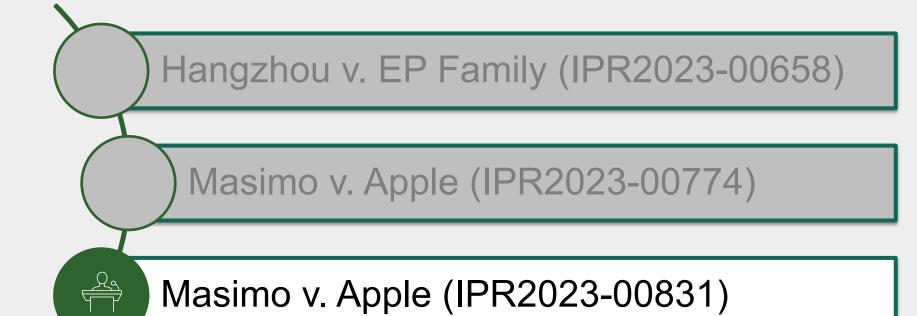


'279 Patent



Proposed Reference

Agenda



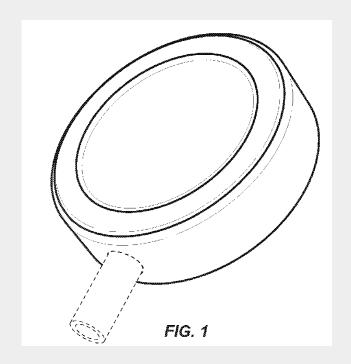
Masimo v. Apple

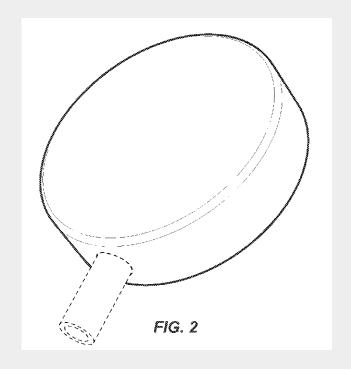
IPR2023-00831, Institution Denial (Paper 9, Nov. 21, 2023)

- U.S. Patent No. D735,131
 - "ornamental design for a charger"
- Asserted Unpatentability Grounds:

Ground	Claim(s) Challenged	35 U.S.C. §	Reference(s)/Basis
1	1	103 ²	Lee ³
2	1	103	Lee, Chiang ⁴
3	1	103	CN-470 ⁵
4	1	103	CN-470, Chiang
5	1	103	Murray ⁶

'131 Patent – Claim Construction

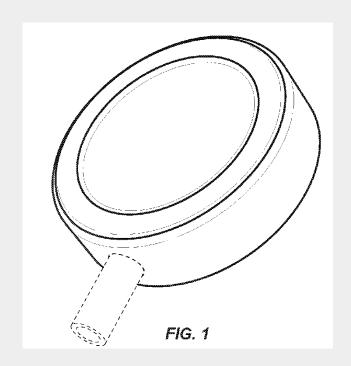




'131 Patent – Claim Construction

Overall appearance of a compact ice hockey puck

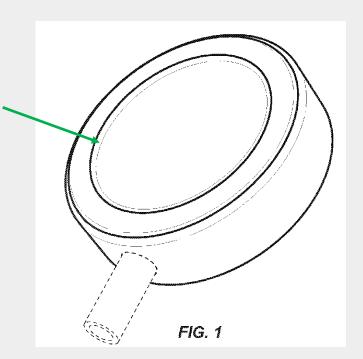
- overall cylindrical shape
- top face with prominent circular recess inset relative to a flat ring having distinct proportional width relative to circular recess
- overall cylindrical shape has distinct ratio of width (i.e., diameter) to height to evoke appearance of compact ice hockey puck
- non-orthogonal transitional edges (i.e., curved or beveled) between sidewall and top/bottom surfaces
- featureless flat bottom surface and sidewall



'131 Patent - Claim Construction

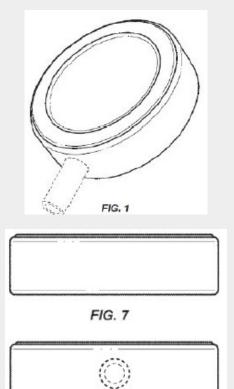
Board: "We cannot discern from the figures that the circular recess as illustrated in the Figures is concave."

circular line shows recess or depression



Specification: "[t]he shade lines in the Figures show contour and not surface ornamentation."

Masimo – Unsuitable Primary Reference



'131 Patent



Proposed Reference

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Report from the Front Lines: Federal District Court

Jeff Merrill
Patent Attorney
Booth Udall Fuller, PLC



Recent Design Law Cases



- Shibumi Shade, Inc. v. Beach Shade LLC (E.D. North Carolina) (December 29, 2023)
- Jacki Easlick, LLC v. CJ Emerald (W.D. Pennsylvania) (January 26, 2024)
- North Star Tech. Int'l Ltd. v. Latham Pool Prods. (E.D. Tennessee) (June 6, 2023)
- Range of Motion Prods. v. The Armaid Co. (D. Maine) (August 28, 2023)

Shibumi Shade, Inc. v. Beach Shade LLC



- Eastern District of North Carolina, December 29, 2023
- Shibumi Shade asserted U.S. design patents D989,350 and D990,605 against Beach Shade.
- Shibumi Shade moved for a preliminary injunction, and thus had to show that it was likely to succeed on the merits.

Shibumi Shade, Inc. v. Beach Shade LLC

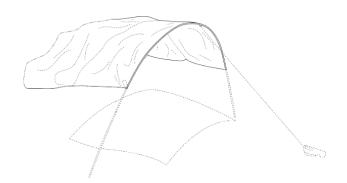


Shading System:

The '350 Patent

The '605 Patent





Shibumi Shade – Claim Construction



- In the '350 patent, Shibumi Shade claimed "a canopy divided visually into two sections" and "the full arch."
- In the '605 patent, Shibumi Shade claimed "a twotoned or solid free-flowing rectangular canopy attached on one side to an arch."

Shibumi Shade – Functionality



- Any shading system must have, at least, a covering and a supporting structure. It is not necessary, however, for the covering or even the supporting structure to take any particular shape."
- Where all functions of the claimed design could be performed by elements different from those described in the '350 and '605 design patents, there are no elements 'driven purely by utility.'"

Shibumi Shade – Infringement



In determining whether an accused product infringes a patented design," the court asks whether "an ordinary observer, familiar with the prior art designs, would be deceived into believing that the accused product is the same as the patented design."

Shibumi Shade, Inc. v. Beach Shade LLC



Shibumi Shade



Beach Shade



Shibumi Shade, Inc. v. Beach Shade LLC



Shibumi Shade

Beach Shade





Shibumi Shade – Infringement

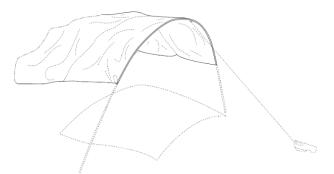


- For purposes of the ordinary observer, however, the accused product's canopy is rectangular in shape, because the triangular cutouts are so small, and the rest of the canopy so large, that the cutouts evade notice by the casual observer."
- When flying on the beach or depicted in marketing materials, as pictured below, the canopy appears rectangular to the ordinary consumer."

Shibumi Shade – Infringement



Thus, the ordinary observer, viewing the accused product as a whole, likely would be deceived into believing that the accused product is the same as the patented design."





Shibumi Shade – Key Takeaways



- When preparing a design patent application, think about what the ordinary observer will see instead of focusing on the details of the design.
- As a plaintiff, having multiple, related design patents of varying scope makes it easier for the court to adopt your position of infringement.

Jacki Easlick, LLC v. CJ Emerald



- Western District of Pennsylvania, January 26, 2024
- Jacki Easlick, LLC asserted U.S. design patent D695,526 against CJ Emerald and moved for a preliminary injunction.

Jacki Easlick, LLC v. CJ Emerald



D695,526 − Handbag Hanger Hook

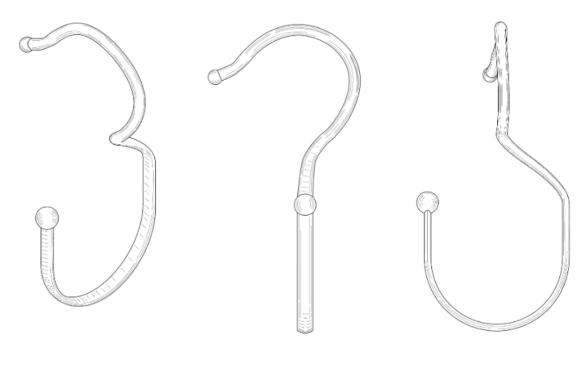


FIG. 1 FIG. 2 FIG. 4

Jacki Easlick – Functionality



The functional purpose of the Tote Hanger is for consumers to hang and organize their handbags on closet rods. . . . Anyone seeking to design a handbag hanger hook will incorporate a top hook to attach to a rod-type structure that will support the weight of a handbag. The same is true of the bottom hook, which is needed to hold the handbag in place."

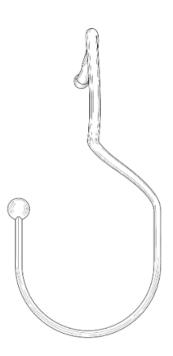


FIG. 4

Jacki Easlick – Functionality



Additionally, the functional purpose of the Tote Hanger dictates the vertical configuration of the top and bottom hooks due to the necessity of having to place the hook that attaches to a rodtype structure above the bottom hook that holds the handbag."

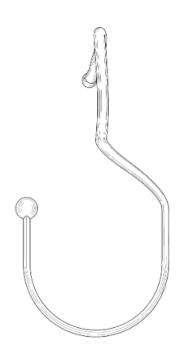


FIG. 4

Jacki Easlick – Functionality



The Design Patent, however, still protects the ornamental features of the Tote Hanger's top and bottom hooks, which include, among other nonfunctional features, the shape of the hooks, the flare out of the top hook's tip, the 90degree offset of the top and bottom hooks, and the spheres on the end of each hook."

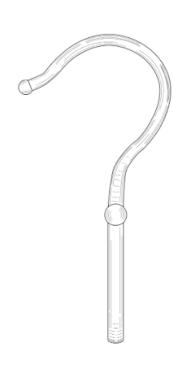
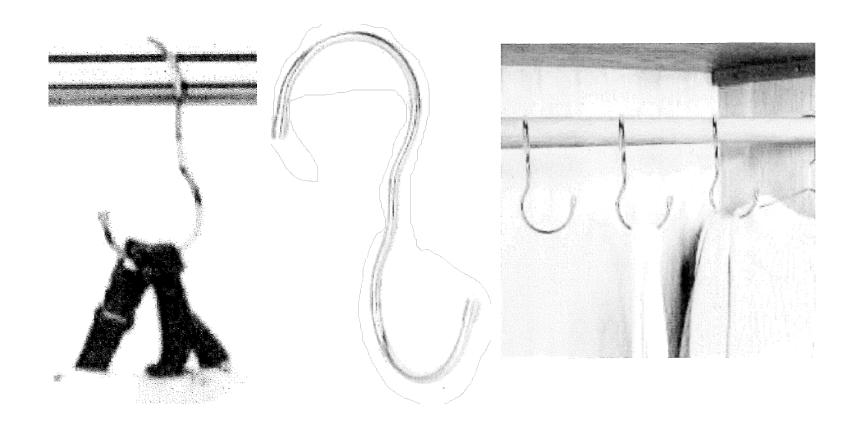


FIG. 2

Jacki Easlick – Infringement





Jacki Easlick – Infringement



Differences between the designs include:

* "the contrasting corkscrew-like center" of the claimed design

- Shape of the bottom hook
- Shape of the finished ends

Jacki Easlick – Take Aways

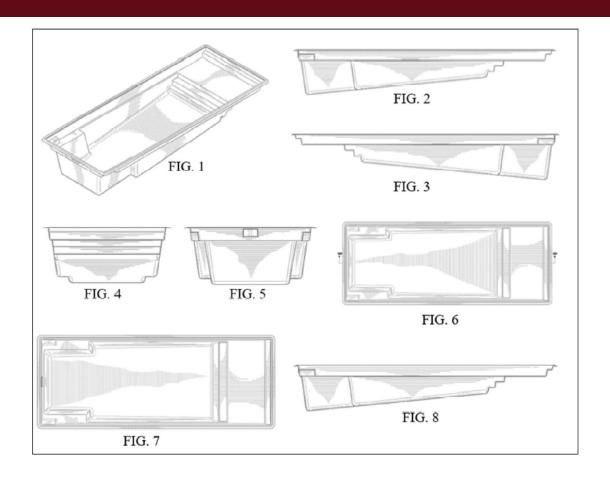


- Be proactive about defining the utilitarian elements of your design to avoid damaging constructions.
- Make sure that the patent drawings do not give an inaccurate visual impression of the design.
- Taking time to think of design-arounds and filing for design patents on these design-arounds pays off in the long run.

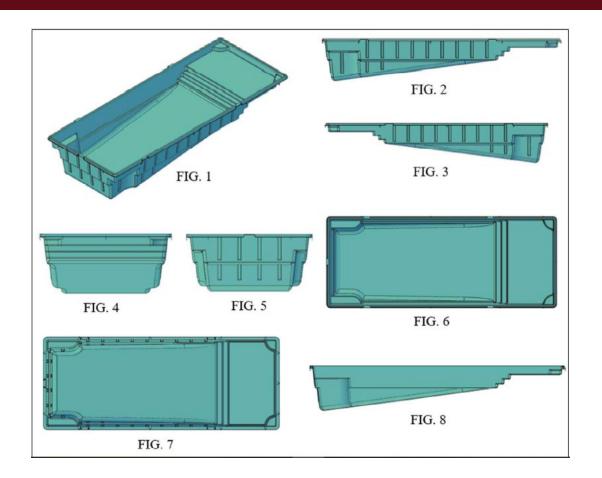


- Eastern District of Tennessee, June 6, 2023
- North Star Technology International Limited asserted U.S. design patent D791,966 against Latham Pool Products.
- Latham Pool Products moved for summary judgment of noninfringement.

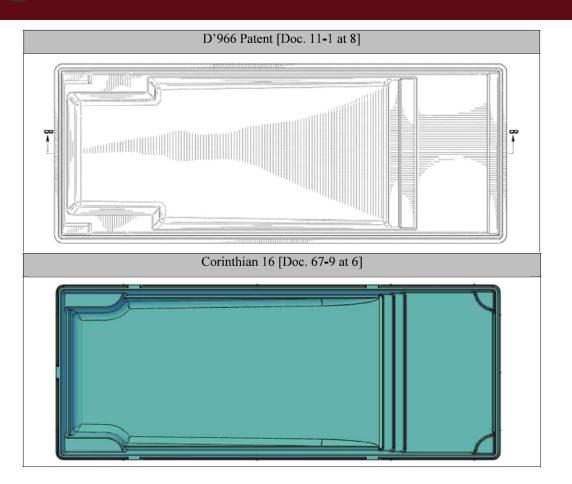




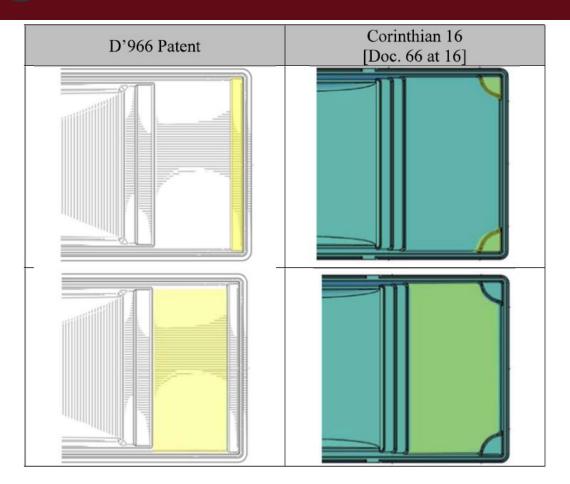




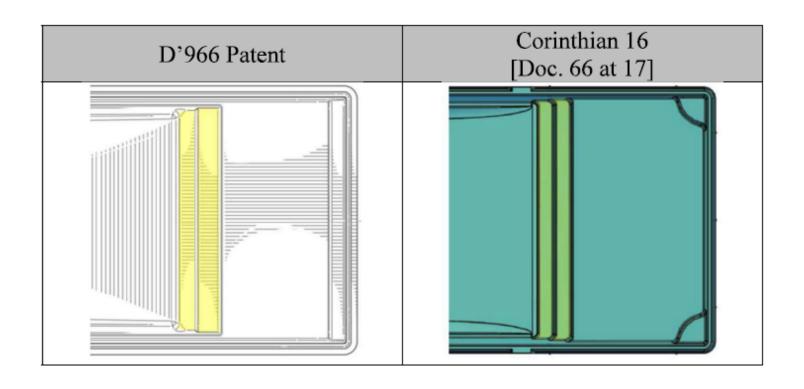




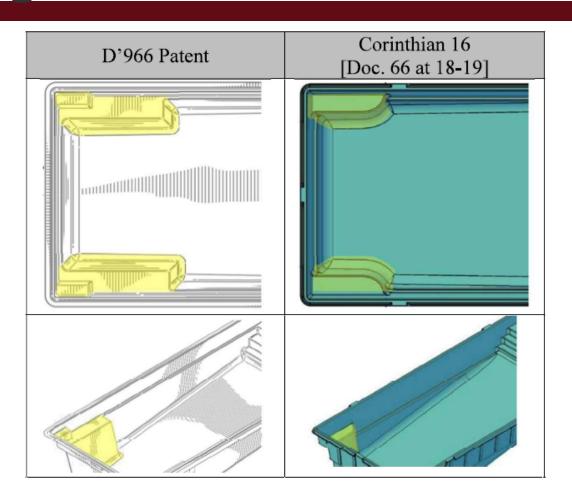




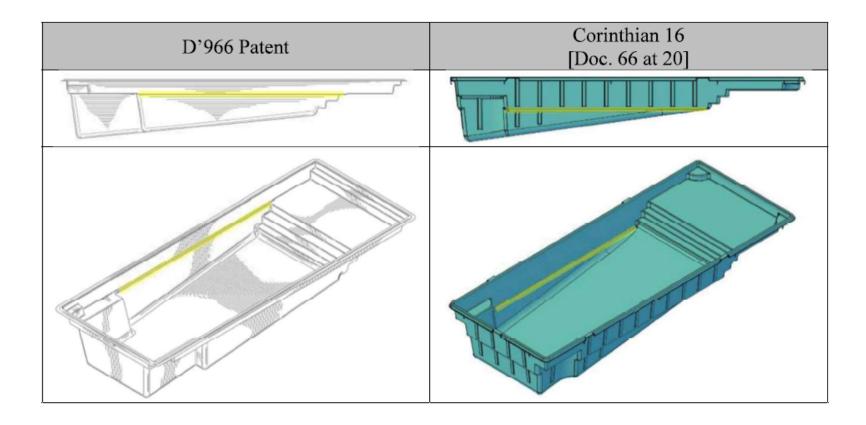














- "As the drawings show, prominent ornamental elements of the two designs differ significantly, creating an overall 'plainly dissimilar' appearance."
- "No 'ordinary observer'—a homeowner considering purchasing a swimming pool for their home—would mistake the angular D'966 Patent design with the curved Corinthian 16 design."
- Summary judgment granted to the defendant.

N. Star Tech. – Key Take Aways



- Finding the right prior art can be the key to defending against a claim of infringement.
- Being aware of competitor designs and including specific differences in your design can help defend against future claims of infringement.

Range of Motion Prods. v. The Armaid Co.

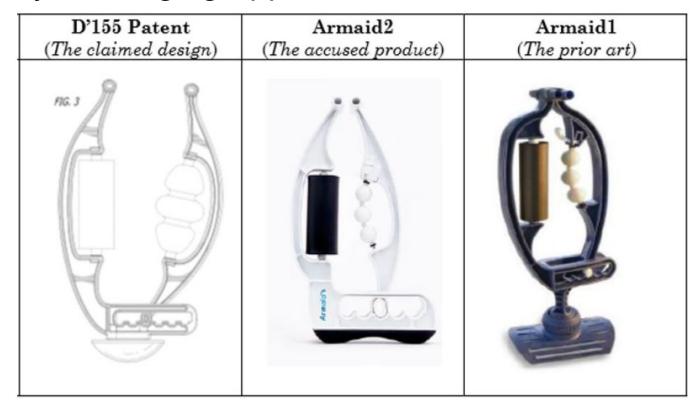


- District of Maine, August 28, 2023
- Range of Motion Products asserted U.S. design patent D802,155 against The Armaid Company.
- Armaid moved for summary judgment of noninfringement.

Range of Motion Prods. v. The Armaid Co.



Body Massaging Apparatus



Range of Motion – Functionality

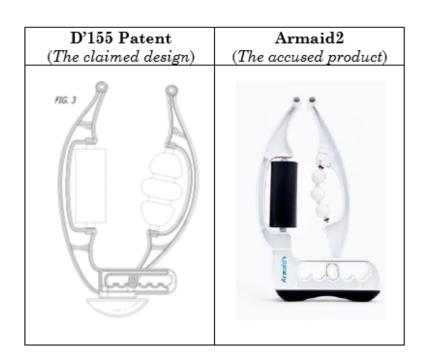


- Many of the design features in the claimed design are driven by function:
- The claimed features are described in a utility patent.
- The inventor described the changes made from the prior art to the claimed design as being functional.
- Marketing material touted the features of the claimed design as being functional.

Range of Motion – Infringement



- "[T]he rub for ROM is that most of the Armaid2's similarities to the D'155 patent are likenesses to the latter's functional features."
- The designs are plainly dissimilar.



Range of Motion – Key Take Aways



- Be aware of how the features of the claimed design are marketed and discussed.
- Present alternative designs that can achieve the same function.

Questions?

