# Trademark Electronic Application System - TEAS Application



**Navigation History**: **Instruction** > Applicant > Mark > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

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PTO Form 1480 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 02/28/2018)

# Certification Mark Form, Principal Register

## Version 5.8

NOTE: For an instructional video providing an overview of the most important issues you should be aware of when filing a trademark application, <u>click here</u>.

## To file the application electronically, please complete the following steps:

- Answer the first question below to create an application form showing only sections relevant to your specific filing.
- 2. For help at any point, click on any underlined word on any page.
- 3. After answering the first wizard question, click on the CONTINUE button at bottom of the page.
- 4. Once in the actual form, complete all fields with a \* symbol, since they are mandatory fields for TEAS filing purposes.
- 5. Validate the form, using the "Validate" button at the end of the form. If there are errors, return to the form to make the correction. A "Warning" may be corrected or by-passed.
- 6. Double-check all entries through the links displayed on the Validation page.
- 7. You may save your work for submission at a later time by clicking on the Download Portable Data button at the bottom of the Validation page.
- 8. When ready to file, use the Pay/Submit button at the bottom of the Validation page. This will allow you to choose from three (3) different payment methods: credit card, automated deposit account, or electronic funds transfer.
- After accessing the proper screen for payment, and making the appropriate entries, you will receive a confirmation screen if your transmission is successful. This screen will say SUCCESS! and will provide your assigned serial number.
- 10. You will receive an e-mail acknowledging receipt of your submission, which will include the assigned serial number and provide a summary of your submission.

Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review.

**Important:** ONCE YOU SUBMIT AN APPLICATION ELECTRONICALLY, THE USPTO WILL IMMEDIATELY ISSUE AN ELECTRONIC ACKNOWLEDGMENT OF RECEIPT. Please contact TEAS@uspto.gov if you do not receive this acknowledgment within 24 hours of transmission.

### **Contact Points:**

- **General trademark information:** Please review the information posted at <u>Where Do I Start</u>. If you have remaining questions, e-mail <u>Trademark Assistance Center@uspto.gov</u>, or telephone 1-800-786-9199.
- **Help:** For instructions on how to *use* the electronic forms, or help in resolving *technical* glitches, please e-mail TEAS@uspto.gov. Please include your telephone number in your e-mail, so we can talk to you directly, if

## necessary. Also, include the relevant serial number or registration number, if existing.

**NOTE:** The TEAS Support Team focuses on problems related to the process of completing the electronic forms, **not** on what information would be correct for entry within a form, or other broader trademark issues. Please route those types of inquiries to the Trademark Assistance Center. However, please be aware that neither group can provide any sort of information in the nature of "legal advice." For legal advice, please consider contacting an attorney who specializes in intellectual property.

- **Bug Report:** If you think there is a "bug" within one of the electronic forms, please click **Bug Report**.
- Status Information: For an application with an assigned serial number, check the <u>Trademark Status & Document Retrieval (TSDR)</u> system to view current status information, as well as the complete prosecution history. Do not attempt to check the status of a filing until at least 7-10 days after submission of the filing, to allow sufficient time for all USPTO databases to be updated. You can view all items listed in the prosecution history section online via the <u>TSDR</u> system, including all Office actions sent by the USPTO.

**WARNING:** This form has a session time limit of 60 minutes. Your "session" began as soon as you accessed this initial Form Wizard page. If you exceed the 60-minute time limit, the form will not validate and you must begin the entire process again; you can, however, extend the time limit. You should always try to have all information required to complete the form prior to starting any session.

## 1. Please select one of the filing options below.

O TEAS Reduced Fee (TEAS RF): I am filing a TEAS RF application, with a reduced fee of \$275 per class of goods/services. TEAS RF Requirements: (1) Provide a valid e-mail address; (2) authorize the USPTO to send e-mail correspondence concerning the application; and (3) agree to use the Trademark Electronic Application System when filing any relevant application-related submissions. Failure to comply with these requirements will incur an additional processing fee of \$125 per class of goods/services.

O TEAS Regular: I am filing a TEAS Regular application, with a fee of \$400 per class of goods/services. TEAS Regular applicants are strongly encouraged, although not required, to authorize e-mail correspondence and use the Trademark Electronic Application System for subsequent application-related submissions.

2. Is an attorney filing this application?
O Yes O No
3. [OPTIONAL] To access previously-saved data, use the "Browse/Choose File" button below to access the file from your local drive. NOTE: For specific instructions, please click <a href="here">here</a> . FAILURE TO FOLLOW THESE NEW INSTRUCTIONS WILL RESULT IN THE DISPLAY OF YOUR DATA IN AN XML FORMAT THAT CANNOT BE EDITED. NOTE: Do NOT attempt to use the button below to upload an image file (for example, a specimen). You must use the button that will be presented for that purpose within the proper section of the actual form.

Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

Wed Jan 25 11:21:07 EST 2017



**Trademark Electronic Application System - TEAS Application** 



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OMB No. 0651-0009 (Exp. 02/28/2018)

# Certification Mark Form, Principal Register

Version 5.8

NOTE: This identifies who owns the mark, not necessarily who is filing the application. For an instructional video focusing on what is meant by the term "applicant," click here.

NOTE: For an instructional video explaining how to fill out the Applicant Information page, click here.

Applicant Information					
Note: If there is more than one owner of the mark, complete the information for the first owner, and then click on the "Add Owner" button at the bottom of this page. Repeat, as necessary, for the appropriate listing of all owners. Warning: It is important to determine whether, in fact, the applicants are joint applicants, or some other entity type listed below.					
* Owner of Mark	[If an individual, use the following format: Last Name, First Name Middle Initial or Name, if applicable]				
☐ DBA (doing business as) ☐ AKA (also known as) ☐ TA (trading as) ☐ Formerly					
Entity Type					
O <u>Individual</u>					
O Corporation					
O Limited Liability Company					
O Partnership					
O Limited Partnership	Country of Select Country				
O Joint Venture	Citizenship				
O Sole Proprietorship					
O <u>Trust</u>					
O <u>Estate</u>					
O Other					
Internal Address					
* Street Address	NOTE: You must limit your entry here, and for all remaining fields within this overall section (except City, see <i>below</i> ), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.				
* City	NOTE: You must limit your entry here to no more than 22 characters.				
* <u>State</u> (Required for U.S. applicants)	Select State  NOTE: You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.				
* Country or U.S. Territory	Select Country or U S Territory				
* Zip/Postal Code (Required for U.S. applicants)					
Phone Number					
Fax Number					

Internet E-mail Address			While the application may list an e-mail address for the applicant, only the e-mail address of the applicant's attorney or domestic representative will be used for actual correspondence purpose, in accordance with <u>USPTO policy</u> .
Website address			
Go Back	Add Owner	Continue	
		Burder	n/Privacy Statement   TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

Wed Jan 25 11:23:09 EST 2017

Trademark Electronic Application System - TEAS Application



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# Certification Mark Form, Principal Register

Version 5.8

#### Mark Information

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When you click on one of the three circles presented below, and follow the specific instructions, the form will automatically create a separate page that displays the mark for which you are applying or permits the applicant to attach an audio file for a sound mark. Please carefully review this page prior to final submission to the USPTO, to ensure that it accurately depicts or represents your mark. You may not be permitted to change or correct your mark after filing this application. While minor changes in the mark may sometimes be permitted, changes that result in a material alteration of the mark as originally filed will result in the USPTO issuing a refusal on that ground.

WARNING: AFTER YOU FINISH <u>SEARCHING</u> THE USPTO DATABASE FOR POTENTIALLY CONFLICTING MARKS, EVEN IF YOU THINK THERE ARE NO CONFLICTING MARKS, DO NOT ASSUME THAT YOUR MARK WILL AUTOMATICALLY REGISTER. AFTER YOU FILE THE APPLICATION, THE USPTO WILL CONDUCT ITS OWN DATABASE SEARCH AND COMPLEX LEGAL REVIEW, AND MAY <u>REFUSE TO REGISTER</u> YOUR MARK ON THAT OR ANOTHER BASIS.

NOTE: For an instructional video on the importance of conducting a search of existing trademarks or service marks before filing your application, click here.

* Click the appropriate circle to indicate the Mark type:	O Standard Characters O Special Form (Stylized and/or Design). O Sound mark
NOTE: For an instructional video on the importance NOTE: For an instructional video explaining how to	· · · · · · · · · · · · · · · · · · ·

Enter the mark here: (Note: The entry can be in capital letters, lower case letters, or a combination thereof. Do not include the TM, SM, ®, or © symbols after the mark entry, because they are not part of the actual mark. If using Internet Explorer, the entry cannot exceed 2036 characters; otherwise, you must switch to another browser.)			
	Preview USPTO-Generated Image		
	<b>NOTE:</b> For how the USPTO determines what the display of the entered mark will be, click <u>here</u> .		

NOTE: For information about mark display in USPTO databases, click here

The "Additional Statement" section of this form is used to enter various legal or informational statement(s) that may pertain to the mark; for example, a disclaimer or English translation. You are not required to enter any of these statement(s) at the time of filing; however, you may be required to add a statement(s) to the record during examination of the application. If you are unsure whether you should make such a statement, the examining attorney assigned to your application will issue a requirement, if appropriate.

☐ Check here to display the full listing of additional statements from which you may make your selection.

Go Back	Continue

Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO Wed Jan 25 11:24:09 EST 2017

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<u>Navigation History</u>: <u>Instruction</u> > <u>Applicant</u> > <u>Mark</u> > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

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# Certification Mark Form, Principal Register

## Version 5.8

## Mark Information

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WARNING: You may submit only one mark per application, if your application includes multiple marks, it may be denied a filing date or refused registration.

When you click on one of the three circles presented below, and follow the specific instructions, the form will automatically create a separate page that displays the mark for which you are applying or permits the applicant to attach an audio file for a sound mark. Please carefully review this page prior to final submission to the USPTO, to ensure that it accurately depicts or represents your mark. You may not be permitted to <a href="change or correct your mark">change or correct your mark</a> after filing this application. While minor changes in the mark may *sometimes* be permitted, changes that result in a <a href="material alteration">material alteration</a> of the mark as originally filed will result in the USPTO issuing a refusal on that ground.

WARNING: AFTER YOU FINISH SEARCHING THE USPTO DATABASE FOR POTENTIALLY CONFLICTING MARKS, EVEN IF YOU THINK THERE ARE NO CONFLICTING MARKS, DO NOT ASSUME THAT YOUR MARK WILL AUTOMATICALLY REGISTER. AFTER YOU FILE THE APPLICATION, THE USPTO WILL CONDUCT ITS OWN DATABASE SEARCH AND COMPLEX LEGAL REVIEW, AND MAY REFUSE TO REGISTER YOUR MARK ON THAT OR ANOTHER BASIS.

NOTE: For an instructional video on the importance of conducting a search of existing trademarks or service marks before filing your application, <u>click here</u>.

<b>3. 11</b>			
* Click the appropriate circle to indicate the Mark type:	O <u>Standard Characters</u> O <u>Special Form (Stylized and/or Design)</u> O <u>Sound mark</u>		
NOTE: For an instructional video on the importance of selecting the proper mark type, <u>click here</u> . NOTE: For an instructional video explaining how to fill out the Mark Information page, <u>click here</u> .			

Click on the "Browse/Choose File" button to select a properly-sized JPG image file (the only accepted format) from your local drive. This image should show the mark exactly as you would wish the mark to appear on your registration certificate, if the mark registers. If you are claiming color, you **must** submit a color image; otherwise, the image must be clear <u>black and white</u>. After the file name appears in the window, click on the "Attach" button to upload the file into the application. A "thumbnail" version of the image will then display directly within the form.

NOTE: The image files for, respectively, the mark and the specimen (if filing under Section 1(a), use in commerce, and showing

display essentially the ex the mark is used on the image file that shows the thereon or within, would	act same thing). The mark overall packaging for the go complete package for the	ins filing) should <b>NOT</b> be the image file should <b>ONLY</b> show oods or within an advertisement goods or a full advertisement ent for a specimen in the late	w the mark by itself, and <b>n</b> ent for services, for example t for the services, with the	ot a representation of how e. On the other hand, an mark clearly displayed
		Attach		
For any image that a ELEMENT only of		), letter(s), punctuation,	and/or number(s), en	ter the <u>LITERAL</u>
space blank if your mark consists of a design and entry in the <u>literal eleme</u>	consists only of design eler word(s), letter(s), punctuat at field that is not found in	nation, and/or number(s) that ments. The image file <b>must</b> i ion, and/or number(s), the in the attached image file will <b>n</b>	nclude <b>all</b> elements of the nage file must include all o <b>ot</b> be considered part of th	mark; <i>i.e.</i> , if your mark f these elements. Any ne mark.
"colors" within the r		k, list the colors below, white, and blue). Begin t		
The color(s) feature of the mark.				is/are claimed as a
believe your image i WARNING about c	s black and white, yet olor within the mark (	ng color as a feature of you received after click perhaps because the ima the attached image was	king the "CONTINUE age consists of too mu	E" button a ich grayscale);
literal elements and any element not ap the mark, including	l/or design elements pearing in the image	ption of the entire mar that are found in the a . If a color mark, you b id also state the location his language)	ttached mark image must specify the colo	, but NOT including or(s) that are part of
			(end perio	od is automatic)
in standard characters). Also, for any color mark, where each color is locat <b>NOTE</b> : Do <b>NOT</b> include wording and the punctua	You must to enter a descrip the description of the mark ed within the mark, e.g., "a as part of the description ei tion will automatically be a	marks that are in a special f bition even if what the mark re must include the nature and bird with a red body, blue w ther the words "The mark co dded after validation; otherwi NOT an upper-case, letter.	form or a sound/motion ma epresents is immediately cla I location of the color; i.e., vings, and yellow beak." nsists of" or a final period,	ark (i.e., for any mark not ear, e.g., "the letter C." you must specifically state because that introductory
in to the mark; for exament(s) at the time of oplication. If you are cation will issue a requality	ample, a disclaimer or filing; however, you n unsure whether you sh uirement, if appropria	ould make such a stater	ou are not required to east a statement(s) to the rement, the examining a	enter any of these ecord during examination o attorney assigned to your
Go Back	Continue			

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO Wed Jan 25 11:24:29 EST 2017

# Trademark Electronic Application System - TEAS Application



<u>Navigation History</u>: <u>Instruction</u> > <u>Applicant</u> > <u>Mark</u> > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

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OMB No. 0651-0009 (Exp. 02/28/2018)

# Certification Mark Form, Principal Register

## Version 5.8

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NOTE: For an instructional video on the importance of conducting a search of existing trademarks or service

marks before filing your application, <u>click here</u> .				
* Click the appropriate circle to indicate the Mark type:	O <u>Standard Characters</u> O <u>Special Form (Stylized and/or Design)</u> O <u>Sound mark</u>			
<b>_</b>	ortance of selecting the proper mark type, <u>click here</u> . how to fill out the Mark Information page, <u>click here</u> .			

Click on the "Browse/Choose File" button to select the sound/motion file (.WAV, .WMV, .WMA, .MP3, .MPG, or .AVI file) from your local drive.

NOTE: The attached file should ONLY show the mark by itself, and should NOT be a representation of how the mark is or may be

used, e.g., on the overall packaging for the goods or within an advertisement for services. Except in rare circumstances, the attached file should not be the same as the specimen image file (when filing under basis Section 1(a), use in commerce).

For any of the design mark that also includes a word(s), letter(s), punctuation, and/or number(s), enter the <u>LITERAL ELEMENT</u> only of the mark here:	
<b>NOTE</b> : Do <b>NOT</b> enter any word(s), letter(s), punctuation, and/or number(s) that do not appear in the attached file. Leave this space blank if your mark consists only of design elements. The file <b>must</b> include <b>all</b> elements of the mark; <i>i.e.</i> , if your mark consists of a design and word(s), letter(s), punctuation, and/or number(s), the image file must include all of these elements. Any entry in the <u>literal element</u> field that is not found in the attached file will <b>not</b> be considered part of the mark.	
* Enter a complete and accurate <u>description</u> of the entire mark below, being sure to include ALL <u>literal elements</u> and/or design elements that are found in the attached mark image, but NOT including any element not appearing in the image. If a color mark, you must specify the color(s) that are part of the mark, including black and white, and also state the location thereof in the mark image.  The mark consists of: (do NOT repeat this language)	
NOTE: A description of the mark is required for any mark not in standard characters. You must to enter a description even if what the mark represents is immediately clear, e.g., "the letter C." Also, for any color mark, the description of the mark must include the nature and location of the color; i.e., you must specifically state where each color is located within the mark, e.g., "a bird with a red body, blue wings, and yellow beak."  NOTE: Do NOT include as part of the description either the words "The mark consists of" or a final period, because that introductory wording and the punctuation will automatically be added after validation; otherwise, the overall description will have improper repetitions. Also, begin the entry with a lower-case, NOT an upper-case, letter.	
Additional Statement" section of this form is used to enter various legal or informational statement(s) that main to the mark; for example, a disclaimer or English translation. You are not required to enter any of these nent(s) at the time of filing; however, you may be required to add a statement(s) to the record during examinate oplication. If you are unsure whether you should make such a statement, the examining attorney assigned to you cation will issue a requirement, if appropriate.  Therefore, the display the full listing of additional statements from which you may make your selection.	ion o
Go Back Continue	
Burden/Privacy Statement   TEAS Form Burden Statement	
Help Desk   Bug Report   Feedback   TEAS Home   Trademark Home   USPTO Wed Jan 25 11:24:47 EST 201	7



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<u>Navigation History</u>: <u>Instruction</u> > <u>Applicant</u> > <u>Mark</u> > <u>Goods/Services/Filing Basis</u> > Attorney/Dom. Rep./Correspondence > Fee/Signature

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## **Goods/Services Information**

## **Instructions:**

Step 1: Click on the "Add Goods/Services" button.

Step 2: After creating the complete list of goods/services for this application, you will then be able to designate the filing basis (or bases) appropriate for each listed item.

## NOTE - INSTRUCTIONAL VIDEOS AVAILABLE REGARDING GOODS/SERVICES IN AN APPLICATION:

For a video on goods/ services and the importance of making a proper identification selection, <u>click here</u>, and for an instructional video explaining how to fill out the Goods/Services page in this application, <u>click here</u>.

Add Goods/Services Remove Checked Goods/Services

NOTE: Clicking "Go Back" will take you directly back to the MARK section of the form.

Go Back

Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

Wed Jan 25 11:25:15 EST 2017



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### **Basis for Filing**

NOTE: For an instructional video on what is meant by "basis for filing," click here.

NOTE: For an instructional video explaining how to fill out the Filing Basis page, click here.

The applicant requests that the Certification mark identified on the preceding page be registered with the USPTO on the Principal Register for the class(es) and goods/services displayed below, and asserts herein the specific basis(es) that covers the listed goods/services.

#### **Instructions for assigning filing basis(es):**

After selecting a class number (if known) and entering the goods/services listing for that class, you may assign a specific filing basis, or if appropriate, multiple bases. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, click here.

For complete step-by-step instructions on how to correctly assign the filing basis(es), click on the heading that corresponds to the relevant factual scenario, *see below*. For examples of filings corresponding to each of the heading descriptions, click on the link "Examples."

- One class or multiple classes, with ONE filing basis for ALL listed items Examples
   NOTE: This is the most common choice. The following are other options, but they are much less common:
- One class or multiple classes, with same multiple filing bases for ALL listed items in class(es) Examples
- One class, with different filing basis(es) for different goods/services within the same class Examples
- Multiple classes, with different filing basis(es) for different overall classes Examples

NOTE - INSTRUCTIONAL VIDEOS AVAILABLE REGARDING GOODS/SERVICES IN AN APPLICATION: For a video on goods/ services and the importance of making a proper identification selection, <u>click here</u>, and for an instructional video explaining how to fill out the Goods/Services page in this application, <u>click here</u>.

		Add Class(es) of Goods/Services	Remove Checked Class(es)	
	Click on the abo	we button to create the next class. For more instructions, click <u>here</u> .	Click on this button to remove a class entirely. For instructions, click <u>here</u> .	more
Select	~ .	* G	oods/Services	Assigned Filing Basis(es)
	If known, select class number A or B	to list your goods/services accurately could pressemicolon or comma.  ALSO:  Do not enter a Class Number/Lette Do not include html or other progralinks. Do not use abbreviations, brackets  You should review the USPTO Goods/Services	ramming code or language that may create embedded s ([]), or braces ({}).  ID Manual (ID Manual) before entering items below. D Manual; however, if your entry is not from the ID	1(b)

each basis, click here. Because assignm as to which basis(es) to select, before clis not a requirement within this form, warning: Registration Subject to C You must ensure that statements made in registration. The lack of a bona fide intended.	NOTE: The 4 BUTTONS below identify the choices of filing basis to be assigned to the items listed in the table, above. For an explanation of each basis, click here. Because assignment of the correct basis to each item is critical, please read the explanations if you have any questions as to which basis(es) to select, before clicking the button(s), below, to begin the assignment of the basis(es). Since assignment of a filing basis is not a requirement within this form, you can by-pass this step by clicking on the Continue button, below, if necessary.  WARNING: Registration Subject to Cancellation for Fraudulent Statements  You must ensure that statements made in filings to the USPTO are accurate, as inaccuracies may result in the cancellation of a trademark registration. The lack of a bona fide intention to use the mark with all goods/services included in an application, or the lack of use on all goods/services for which you claim use, could jeopardize the validity of the registration and result in its cancellation.					
Section 1(a)  Actually using mark in commerce now	No use of mark yet, intending to use	Foreign application exists for same goods/services	Foreign registration exists for same goods/services			

CERTIFICATION
---------------

Enter into the "Certification Statement" field below, a statement specifying what the applicant is certifying about the goods/services in the application; i.e., the characteristic(s), standard(s), or other feature(s) of the goods/services that is certified or intended to be certified by the mark. The following format is suggested: The certification mark, as used or intended to be used by persons authorized by the certifier, certifies or is intended to certify that the goods/services provided have \_\_\_\_\_\_ {^<specify>}.

\* Certification Statement

NOTE: Clicking "Go Back" will take you directly back to the MARK section of the form.

Go Back Continue

Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

Wed Jan 25 11:29:37 EST 2017

# Trademark Electronic Application System - TEAS Application



<u>Navigation History</u>: <u>Instruction</u> > <u>Applicant</u> > <u>Mark</u> > <u>Goods/Services/Filing Basis</u> > <u>Attorney/Dom. Rep./Correspondence</u> > Fee/Signature

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. PTO Form 1480 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 02/28/2018)

☐ Yes I would like to file a TEAS RF application

# Certification Mark Form, Principal Register

## Version 5.8

☐ Check here if an attorney the box if currently checked	is filing this form on behalf of the applicant(s). If an attorney is not filing, simply click on and to "uncheck" that have
☐ Check here if the applican	at wants to appoint a Domestic Representative. A Domestic Representative is OPTIONAL so outside the United States. Once checked, a separate section of the form will appear to
	Attorney Information
* Correspondent Attorney Name	
Individual Attorney Docket/Reference Number	NOTE: You must limit your entry here to no more than 12 characters.
Other Appointed Attorney(s)	
Firm Name	
Internal Address	
* Street Address	<b>NOTE:</b> You must limit your entry here, and for all remaining fields within this overall section (except City, see <i>below</i> ), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.
* City	NOTE: You must limit your entry here to no more than 22 characters.
* <u>State</u> (Required for U.S. addresses)	NOTE: You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.
* Country or U.S. Territory	Select Country or U.S. Territory
* <u>Zip/Postal Code</u> (Required for U.S. addresses)	
Phone Number	

Fax Number	
	An e-mail address for communication with the appointed attorney must be provided. The attorney must keep this address current in the USPTO 's records. Specific authorization for this communication may be made, <i>below</i> .
<u>Internet</u> E-mail Address	Check here to <u>authorize</u> the USPTO to communicate with the appointed attorney via e-mail. (Informal communication is permissible without authorization.)  NOTE: By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of the application through the <u>TSDR</u> system, to see if the assigned examining attorney has e-mailed an Office action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's, the applicant's appointed attorney's, or the applicant's domestic representative's ecurity or anti-spam software, or any problems within the applicant's, the applicant's appointed attorney's, or the applicant's domestic representative's e-mail system. All sent actions can be viewed on-line, via the <u>TSDR</u> system.
Go Back	Continue

Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

Wed Jan 25 11:29:53 EST 2017

# Trademark Electronic Application System - TEAS Application



<u>Navigation History</u>: <u>Instruction</u> > <u>Applicant</u> > <u>Mark</u> > <u>Goods/Services/Filing Basis</u> > <u>Attorney/Dom. Rep./Correspondence</u> > Fee/Signature

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. PTO Form 1480 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 02/28/2018)

# Certification Mark Form, Principal Register

## Version 5.8

	Correspondence Information								
Note: Where an att	tructional video on the correspondence information section, click here.  orney or domestic representative has been appointed, the USPTO will correspond ONLY with the Do NOT attempt to change the correspondence address to the owner's address.								
* <u>Name</u>									
Firm Name									
Internal Address									
* Street Address	NOTE: You must limit your entry here, and for all remaining fields within this overall section (except City, see <i>below</i> ), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.								
* <u>City</u>	NOTE: You must limit your entry here to no more than 22 characters.								
* <u>State</u> (Required for U.S. addresses)	Select State  NOTE: You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.								
* Country or U.S. Territory	Select Country or U.S. Territory								
* Zip/Postal Code (Required for U.S. addresses)									
Phone Number									
Fax Number									
	Primary Email Address  Secondary Email Address(es)  Enter up to 4 addresses, separated by either a semicolon or a comma.								

## \* <u>Internet</u> E-mail Address

Only one e-mail address may be used for correspondence, in accordance with <u>USPTO policy</u>. The applicant must keep this address current in the USPTO 's records.

☐ I <u>authorize</u> the USPTO to send e-mail correspondence concerning the application to the applicant, the applicant's attorney, or the applicant's domestic representative at the e-mail address provided above. I understand that a valid e-mail address must be maintained and that the applicant or the applicant's attorney must file the relevant subsequent application-related submissions via the Trademark Electronic Application System (TEAS). Failure to do so will result in the loss of TEAS Reduced Fee status and a requirement to submit an additional processing fee of \$125 per international class of goods/services.

**NOTE**: By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of the application through the <u>TSDR</u> system, to see if the assigned examining attorney has e-mailed an Office action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's, the applicant's appointed attorney's, or the applicant's domestic representative's security or anti-spam software, or any problems within the applicant's, the applicant's appointed attorney's, or the applicant's domestic representative's e-mail system. All sent actions can be viewed on-line, via the <u>TSDR</u> system.

Go Back	Continue
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Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

Wed Jan 25 11:38:24 EST 2017

Trademark Electronic Application System - TEAS Application



Navigation History: Instruction > Applicant > Mark > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. PTO Form 1480 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 02/28/2018)

# Certification Mark Form, Principal Register

#### Version 5.8

#### Fee Information

NOTE: The total fee is computed based on the filing option selected (regular TEAS or TEAS RF) and the number of classes in which the goods/services associated with the mark are classified. Because, in a Certification Mark application, all goods are classified in Class A, while all services are classified in Class B, the Certification Mark application form is preset to allow a maximum payment of two classes. In order to ensure that the total submitted payment is correct, please review the displayed information and, if necessary, manually adjust the Total Fee Paid amount, using the pull-down box to select the correct fee amount.

NOTE: For an instructional video on the fee and signature information sections, click here.

Note: Three payment options (<u>credit card</u>, <u>automated deposit account</u>, and <u>Electronic Funds Transfer</u>) will appear after clicking on the **Pay/Submit** button, which is available on the bottom of the *Validation Page* after completing and validating this form.

Number of Classes	1
Fee per class	\$275
Total Fee Due	\$275
* <u>Total Paid Fees</u>	\$275

### Signature Information

Click to choose ONE signature method:

O Sign directly O E-mail Text Form to second party for signature O Handwritten pen-and-ink signature O Submit application unsigned

## **Electronic Signature**

To electronically sign this application, enter any alpha/numeric characters (letters/numbers) of your choosing, preceded and followed by the forward slash (/) symbol. Most signatories enter their name between the two forward slashes; examples of acceptable "signatures" include: /john doe/; /jd/; or /123-4567/. The application may still be validated to check for missing information or errors even if the signature and date signed fields are left blank; however, you must specifically click the button for "Submit application unsigned," above.

#### DECLARATION

Read the following statements before signing. Acknowledge the statements by checking the boxes and signing below.

- ☐ If the applicant is filing the application based on use in commerce under 15 U.S.C. § 1051(a):
  - The signatory believes that the applicant is the owner of the certification mark sought to be registered;
  - The applicant is exercising legitimate control over the use of the mark in commerce by authorized users on or in connection with the goods/services in the application;
  - The specimen(s) shows the mark as used in commerce by the applicant's authorized users on or in connection with the goods/services in the application;
  - The applicant is not engaged in the production or marketing of the goods/services to which the mark is applied, except to advertise or
    promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant; and
  - The facts set forth in the application are true.

If the applicant is filing the application based on an intent to use the mark in commerce under 15 U.S.C. § 1051(b), § 1126(d), and/or § 1126(e):

- The signatory believes that the applicant is entitled to exercise legitimate control over the use of the mark in commerce by authorized users:
- The applicant has a bona fide intention to exercise legitimate control over the use of the mark in commerce by authorized users on or in connection with the goods/services in the application;
- The applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or
  promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant; and
- The facts set forth in the application are true.

Cert	ification Ma	ark Form, Principal Register									
		To the best of the signatory's knowledge and belief, no other persons, other than authorized users, and, if applicable, concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services of such other persons, to cause confusion or mistake, or to deceive.									
			knowledge, information, and belief, formed after an inquiry reasonable under the circumstances, the allegations and le above have evidentiary support.								
		The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and that all statements made on information and belief are believed to be true.									
		* <u>Signature</u>	* Date Signed (MM/DD/YYYY)								
		* <u>Signatory's Name</u>									
		* Signatory's Position									
			NOTE: Enter the appropriate title or the nature of the relationship to the applicant - if an individual, enter "Owner" if an attorney, enter "Attorney of record, [specify at least one state] bar member" (e.g., Attorney of Record, New								
			York bar member); if an authorized signatory of a business entity enter, e.g., "President," "Vice President,"								

NOTE: If there are multiple signatories, click on the "Add Signatory" button below, and repeat signature process. Otherwise, Click on the Validate button, or if necessary, the Go Back button.

"General Partner" (if a partnership), or "Principal" (if a limited liability company).

Go Back Add Signatory Validate

Signatory's Phone Number

Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

Wed Jan 25 11:38:43 EST 2017

Trademark Electronic Application System - TEAS Application



Navigation History: Instruction > Applicant > Mark > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. PTO Form 1480 (Rev 09/2006)
OMB No. 0651-0009 (Exp. 02/28/2018)

# Certification Mark Form, Principal Register

Version 5.8

#### Fee Information

NOTE: The total fee is computed based on the filing option selected (regular TEAS or TEAS RF) and the number of classes in which the goods/services associated with the mark are classified. Because, in a Certification Mark application, all goods are classified in Class A, while all services are classified in Class B, the Certification Mark application form is preset to allow a maximum payment of two classes. In order to ensure that the total submitted payment is correct, please review the displayed information and, if necessary, manually adjust the Total Fee Paid amount, using the pull-down box to select the correct fee amount.

NOTE: For an instructional video on the fee and signature information sections, click here.

Note: Three payment options (<u>credit card</u>, <u>automated deposit account</u>, and <u>Electronic Funds Transfer</u>) will appear after clicking on the **Pay/Submit** button, which is available on the bottom of the *Validation Page* after completing and validating this form.

Number of Classes	1
Fee per class	\$275
Total Fee Due	\$275
* Total Paid Fees	\$275

### Signature Information

Click to choose ONE signature method:

O Sign directly O E-mail Text Form to second party for signature O Handwritten pen-and-ink signature O Submit application unsigned

	1 ext Form for E-Signatures
	eclaration on behalf of the other joint applicant(s), by merely making identical entries. The USPTO will presume that the authorized to sign on behalf of the other person(s).
Signatory's Name	
Signatory's Position	
	NOTE: Enter the appropriate title or the nature of the relationship to the applicant - if an individual, enter "Owner";
	if an attorney, enter "Attorney of record, [specify at least one state] bar member" (e.g., Attorney of Record, New
	York bar member); if an authorized signatory of a business entity enter, e.g., "President," "Vice President,"
	"General Partner" (if a partnership), or "Principal" (if a limited liability company).
Signatory's Phone Number	

Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

NOTE: If there are multiple signatories, click on the "Add Signatory" button below, and repeat signature process. Otherwise, Click on the Validate button, or if necessary, the Go Back button.

Validate

Thu Jan 26 11:14:14 EST 2017



Navigation History: Instruction > Applicant > Mark > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. PTO Form 1480 (Rev 09/2006)
OMB No. 0651-0009 (Exp. 02/28/2018)

# Certification Mark Form, Principal Register

Version 5.8

#### Fee Information

NOTE: The total fee is computed based on the filing option selected (regular TEAS or TEAS RF) and the number of classes in which the goods/services associated with the mark are classified. Because, in a Certification Mark application, all goods are classified in Class A, while all services are classified in Class B, the Certification Mark application form is preset to allow a maximum payment of two classes. In order to ensure that the total submitted payment is correct, please review the displayed information and, if necessary, manually adjust the Total Fee Paid amount, using the pull-down box to select the correct fee amount.

NOTE: For an instructional video on the fee and signature information sections, click here.

Note: Three payment options (credit card, automated deposit account, and Electronic Funds Transfer) will appear after clicking on the Pay/Submit button, which is available on the bottom of the Validation Page after completing and validating this form.

Number of Classes	1
Fee per class	\$275
Total Fee Due	\$275
* <u>Total Paid Fees</u>	\$275

#### Signature Information

Click to choose ONE signature method:

O Sign directly O E-mail Text Form to second party for signature O Handwritten pen-and-ink signature O Submit application unsigned

#### **Text Form for Handwritten Signature Scanning**

This option uses a <u>Text Form</u> (available after clicking on the Validate button) that will be signed by the proper signatory(ies) in the traditional "pen-and-ink" manner. Or, if you already have a signed declaration for this application, you can attach it directly here, rather than relying on the USPTO's text form version. **NOTE:** If you are using the Text Form approach, you must after validation save the application data, and then pull up the saved form to attach the scanned file for final submission.

Click on the **Browse/Choose File** button to select file that contains the scanned declaration/signature from your local drive. The scanned file should *only* include the declaration language with the appropriate signature information (signature, signatory's name, signatory's position, and signature date). Do **not** include the entire application, but do ensure that the boilerplate declaration language appears; *i.e.*, a signature by itself is *not* acceptable absent the required declaration language.

. , , ,	eclaration on behalf of the other joint applicant(s), by merely making identical entries. The USPTO will presume that the authorized to sign on behalf of the other person(s).
* <u>Signatory's Name</u>	
* Signatory's Position	
	NOTE: Enter the appropriate title or the nature of the relationship to the applicant - if an individual, enter "Owner";
	if an attorney, enter "Attorney of record, [specify at least one state] bar member" (e.g., Attorney of Record, New
	York bar member); if an authorized signatory of a business entity enter, e.g., "President," "Vice President,"

"General Partner" (if a partnership), or "Principal" (if a limited liability company).

NOTE: If there are multiple signatories, click on the "Add Signatory" button below, and repeat signature process. Otherwise, Click on the Validate button, or if necessary, the Go Back button.

Signatory's Phone Number

Go Back Add Signatory Validate

Burden/Privacy Statement | TEAS Form Burden Statement

<u>Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO</u>

Thu Jan 26 11:14:35 EST 2017

Trademark Electronic Application System - TEAS Application



Navigation History: Instruction > Applicant > Mark > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

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OMB No. 0651-0009 (Exp. 02/28/2018)

# Certification Mark Form, Principal Register

Version 5.8

#### Fee Information

NOTE: The total fee is computed based on the filing option selected (regular TEAS or TEAS RF) and the number of classes in which the goods/services associated with the mark are classified. Because, in a Certification Mark application, all goods are classified in Class A, while all services are classified in Class B, the Certification Mark application form is preset to allow a maximum payment of two classes. In order to ensure that the total submitted payment is correct, please review the displayed information and, if necessary, manually adjust the Total Fee Paid amount, using the pull-down box to select the correct fee amount.

NOTE: For an instructional video on the fee and signature information sections, click here.

Note: Three payment options (credit card, automated deposit account, and Electronic Funds Transfer) will appear after clicking on the Pay/Submit button, which is available on the bottom of the Validation Page after completing and validating this form.

	_	•	_	_			
Number of Classes		1					
Fee per class		\$275					
<b>Total Fee Due</b>		\$275					
* Total Paid Fees		\$275					

### Signature Information

Click to choose ONE signature method:

O Sign directly O E-mail Text Form to second party for signature O Handwritten pen-and-ink signature O Submit application unsigned

**NOTE:** Click on the <u>Validate</u> button, or if necessary, the Go Back button.

Go Back Validate

Burden/Privacy Statement | TEAS Form Burden Statement

Help Desk | Bug Report | Feedback | TEAS Home | Trademark Home | USPTO

Thu Jan 26 11:14:43 EST 2017

Trademark Electronic Application System - TEAS Application



<u>Navigation History</u>: <u>Instruction</u> > <u>Applicant</u> > <u>Mark</u> > <u>Goods/Services/Filing Basis</u> > <u>Attorney/Dom. Rep./Correspondence</u> > <u>Fee/Signature</u>

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. PTO Form 1480 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 02/28/2018)



# Certification Mark Form, Principal Register

Version 5.8 - Validation Page

On Wed Jan 25 11:39:33 EST 2017 You completed all mandatory fields and successfully validated the form. It has NOT been filed to the USPTO at this point. Please complete all steps below to submit the application.

## NOTE: For an instructional video on the Validation Page, click here.

■ STEP 1: Review the application data in various formats, by clicking on the phrases under Application Data. Use the print function within your browser to print these pages for your own records. If the Mark and Specimens appear huge, click here.

**Note**: It is important that you review this information for accuracy and completeness now. Corrections after submission may not be permissible, thereby possibly affecting your legal rights.

**Note**: If you are using the e-signature approach or the handwritten pen-and-ink signature approach, you must click on the final link to access the specific "text form" for that purpose.

Application Data			
■ <u>Input</u>	■ <u>Mark</u>	■ XML File	■ <u>Text Form</u>

■ STEP 2: If there are no errors and you are ready to file this application electronically, confirm the e-mail address for acknowledgment. Once you submit the form electronically, we will send an electronic acknowledgment of receipt to the e-mail address entered below. If no e-mail address appears, you must enter one. If we should send the acknowledgment to a different e-mail address, or to an additional address(es), please enter the proper address or additional address(es). For multiple addresses/receipts, please separate e-mail addresses by either a semicolon or a comma

*NOTE:* This e-mail address is only for the purpose of receiving the acknowledgment that the transmission reached the USPTO, and is not related to the e-mail that will be used for correspondence purposes (although it could be the same address. The official e-mail address that the USPTO will use for any future communication is whatever appears in the specific correspondence section of the form.)

* E-mail for acknowledgment		
To ensure we can deliver your e- address(es) here:	-mail confirmation successfully, please re-enter yo	ur e-mail
* E-mail for acknowledgment		

■ STEP 3: To download and save the form data, click on the <u>Download Portable Data</u> button at the bottom of this page. The information will be saved to your local drive. To begin the submission process with saved data, you must open a new form, and click on the "Browse/Choose File" button displayed on the initial form wizard page, at "
[OPTIONAL] To access previously-saved data, use the "Browse/Choose File" button below to access the file

**from your local drive." REMINDER:** Do **NOT** try to open the saved .obj/.xml form directly. You must return to the very first page of the form, as if starting a brand new form, and then use the specific "Browse/Choose File" button on that page to import the saved file. Clicking on the "Continue" button at the bottom of that first page will then properly open the saved version of your form.

## **STEP 4:** Read and check the following:

# Important Notice:

- (1) Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review. This is true regardless of how soon after submission you might attempt to request cancellation of the filing. Therefore, please review **ALL** information carefully prior to transmission.
- (2) All information you submit to the USPTO at any point in the application and/or registration process will become public record, including your name, phone number, e-mail address, and street address. By filing this application, you acknowledge that YOU HAVE NO RIGHT TO CONFIDENTIALITY in the information disclosed. The public will be able to view this information in the USPTO's on-line databases and through internet search engines and other on-line databases. This information will remain public even if the application is later abandoned or any resulting registration is surrendered, cancelled, or expired. To maintain confidentiality of banking or credit card information, only enter payment information in the secure portion of the site after validating your form. For any information that may be subject to copyright protection, by submitting it to the USPTO, the filer is representing that he or she has the authority to grant, and is granting, the USPTO permission to make the information available in its on-line database and in copies of the application or registration record.
- (3) Be aware that private companies **not** associated with the USPTO often use trademark application and registration information from the USPTO's databases to <u>mail or e-mail trademark-related solicitations</u> (samples of non-USPTO solicitations included).

	If you have rea	ad and understand th	ne above notice,	please check t	the box before	you click on the	e Pay/Submit
bι	utton.						

## **STEP 5:** If you are ready to file electronically:

Click on the **Pay/Submit** button *below*, to access the site where you will select one of three possible payment methods. After successful entry of payment information, you can complete the submission to the USPTO. A valid transaction will result in a screen that says **SUCCESS!** Also, we will send an e-mail acknowledgment within 24 hours.

**WARNING:** Click on the Pay/Submit button **ONLY** if you are now entirely prepared to complete the Pay/Submit process. After clicking the button, you can **NOT** return to the form, since you will have left the TEAS site entirely. Once in the separate payment site, you **must** complete the Pay/Submit process within **30 minutes.** If you are not prepared to complete the process now, you should select the "Download Portable Data" option to save your form, and then complete the Pay/Submit process later. Or, if you have discovered any error, use the "Go Back to Modify" button to make a correction.

**WARNING:** Fee payments by credit card may **not** be made from 2 a.m. to 6 a.m. Sunday, Eastern Standard Time. If you are attempting to file during that specific period, you **must** use either (1) the deposit account or electronic funds transfer payment method; or (2) the "Download Portable Data" option to save your form, and then complete the Pay/Submit process later for a credit card payment.

Go Back to Modify	Download Portable Data	Pay/Submit		
Burden/Privacy Statement   TEAS Form Burden Statement				